

University of Dundee

## Societal Constitutionalism in Japan

Silquini-Cinelli, Luca

*Published in:*  
Asian Journal of Law and Society

*DOI:*  
[10.1017/als.2019.9](https://doi.org/10.1017/als.2019.9)

*Publication date:*  
2019

*Document Version*  
Peer reviewed version

[Link to publication in Discovery Research Portal](#)

*Citation for published version (APA):*  
Silquini-Cinelli, L. (2019). Societal Constitutionalism in Japan: Neighbourhood Associations as Micro-relational Constitutional Sites. *Asian Journal of Law and Society*, 6(2), 249-280. <https://doi.org/10.1017/als.2019.9>

### General rights

Copyright and moral rights for the publications made accessible in Discovery Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from Discovery Research Portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain.
- You may freely distribute the URL identifying the publication in the public portal.

### Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

ACCEPTED DRAFT: ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Article's Title:

Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites

Author:

Dr Luca Siliquini-Cinelli

Author's Qualification and Affiliation:

Lecturer, School of Law, University of Dundee

Address:

School of Law  
University of Dundee  
Nethergate  
DD14HN  
Dundee  
UK

Contact Details:

Email: [L.siliquinicinelli@dundee.ac.uk](mailto:L.siliquinicinelli@dundee.ac.uk)

Telephone: +44 (0)1382 388983

Acknowledgment:

Part of this article was written during a visiting scholar programme at the Graduate School of Law, Kobe University in May-July 2018. The research and visit were funded by a Regular Research Grant of The Great Britain Sasakawa Foundation (GBSF; Grant Application Number 5278). My sincere thanks to the GBSF for funding my research and stay as well as to Professor Hiroshi Takahashi for hosting me at Kobe University and helpful conversations and exchanges. An earlier draft of the article was presented at the 22nd Biannual Conference of the Asian Studies Association of Australia, held at The University of Sydney on 3-5 July 2018 (Japanese Law Panel, chaired by Professor Luke Nottage). I wish to thank all those who provided me with valuable suggestions. I am also indebted to two anonymous reviewers for their constructive comments. Errors are mine only.

Abstract

Over the past few years, Japan has been witnessing the emergence, regeneration, and spread of micro-relational forms of cohesion, solidarity, and responsibility in response to the *ryūdō-ka shakai* and *hikikomori* phenomena. These terms refer to the crisis of

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

social relations and cooperation, which commenced after the collapse of the Japanese economy in the early 1990s. While scholars, particularly sociologists and anthropologists, have consistently inquired into these micro-sites of civic friendship and responsibility, their juridical status is yet to be ascertained. This article argues that the paradigm of societal constitutionalism developed by Gunther Teubner can be of precious assistance in conducting such an assessment. In particular, it offers a contextualisation of Teubner’s reflections on constitutional pluralism and fragmentation of social functions from the perspective of Kiyoshi Hasegawa’s state-centric scholarship on the regulatory dynamics of neighbourhood associations as micro-relational communities in suburban areas.

### Keywords

Societal Constitutionalism; *Ryūdō-ka shakai*; *Hikikomori*; Micro-relational orderings; Gunther Teubner; Kiyoshi Hasegawa

A particular is given, and only given, within relations<sup>1</sup>

## I. Introduction

As is well-known, after growing for more than two decades at incredibly high rates, the Japanese economy collapsed between late 1991 and early 1992, leading to a long period of economic stagnation known as the “lost two decades” (*ushinawareta nijūnen*). The breakdown was caused by the burst of the asset price bubble (*baburu keiki*). As one could expect, Japan’s economic decline and the (for the most part) inadequate political responses to it have led to destabilising macro-level transformations which have been significantly affecting the country’s social dimension.<sup>2</sup> One such development, at the centre of this article, is the proliferation of social withdrawals—a phenomenon which, as Anne Allison has recently observed, takes shape in “the rhythm of social impermanence: relationships that instantaneously connect, disconnect, or never start up in the first place.”<sup>3</sup> Unsurprisingly, the voiding of the Japanese’s sense of civic-embeddedness and community-belonging has attracted a considerable degree of attention both in and outside Japan for a variety of reasons. These include (but are not limited to) the

---

<sup>1</sup> Benjamin (2015), p. 19.

<sup>2</sup> Funabashi & Kushner (2015).

<sup>3</sup> Allison (2013), p. 8.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

fact that, particularly since the Edo period, dating 1600-1868, social order and stability have been achieved by prioritising community concerns over local and individual interests—an approach revolving around such political concepts as *giri* (expected behaviour) and *wa* (harmony);<sup>4</sup> that individual (i.e. one’s own as well as others’) dignity (*jinkaku*) represented “the fundamental concept of post-war democratic education;”<sup>5</sup> and that such crisis originated during a period, the early 1990s, when “[m]acro- rather than micro-management strategies . . . prevail[ed].”<sup>6</sup>

To be sure, as Hannah Arendt reminded us, humankind has been witnessing “mass phenomena of loneliness”<sup>7</sup> since the modern “rise of the social”<sup>8</sup> voided the ancient public-private distinction of its political significance. It is indeed not a coincidence that the humanising properties of public life were outlined for the first time by the philosopher who stressed the ethical-political character of friendship, Aristotle.<sup>9</sup> Similarly, it is not by accident that the father of republicanism, Jean-Jacques Rousseau, urged humanity to move away from a societal condition in which, rather than fighting against each other as Thomas Hobbes had depicted, “everyone is isolated from, and completely indifferent to, everyone else.”<sup>10</sup> Yet, while it was commonly thought that this ontological condition was somehow confined to Western societies only, the number of studies which have emerged over the past few years exploring the Japanese’s modes of social precarity and marginalisation is testament to the need for moving the scholarly debate on the subject beyond traditional assumptions and categorisations.<sup>11</sup>

In the search for conceptual effectiveness and analytical coherence, scholars have come to deploy as well as borrow from each other a set of specific terms to address the multiple

---

<sup>4</sup> For a brief survey of the legal scholarship on the subject, see Corne (1990), pp. 347–350. More broadly and critically, see van Wolferen (1990), p. 202, p. 304, and pp. 314–7. For a urban planning and development account, see Sorensen (2004), pp. 11–113; Yorifusa (2006), pp. 25–33.

<sup>5</sup> Inoue (2012), p. 313.

<sup>6</sup> Waswo (1996), p. 159. See also Sorensen (2006), p. 109; Feldhoff (2007), p. 92.

<sup>7</sup> Arendt (2012), p. 59.

<sup>8</sup> Arendt, *supra* note 7, p. 68. See also *id.* (2005), p. 141.

<sup>9</sup> Agamben (2009).

<sup>10</sup> Cassirer (2009), p. 259. See also Nancy (1991), p. 9.

<sup>11</sup> Among others, see Allison, *supra* note 3; Abe (2010); Horiguchi (2012); Kingston (2012); *id.* (2014); Shirahase (2014); Roberts & Orpett Long (2014); Iwata-Weickgenannt & Rosenbaum (2014); Pejović (2014); Baldwin & Allison (2015); Chiavacci and Hommerich (2017). See also the (2016) 36(2) Special Issue of *Japanese Studies* on ‘Family at the Margins: State, Welfare and Wellbeing in Japan’.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

declensions and repercussions of the “anguish of everyday life”<sup>12</sup> experienced by the Japanese. The most recurring ones are *ryūdō-ka shakai* (liquid society), *muen shakai* (relationless society), *kyōsō shakai* (competitive society), *kakusa shakai* (disparity society), *kodoku* (loneliness), *ningenkankei no hinkon* (poverty of human relations), *ibasho ga nai* (without a belonging), and *ohitori-sama no rōgo* (aging alone). Above all, one term has, however, become widespread not only in sociological and anthropological studies on these forms of social retreat and existential isolation, but also in contemporary everyday parlance: *hikikomori* (social withdrawal). Given the irreducible “ontological register”<sup>13</sup> of relationality, it comes as no surprise that some commentators have come to emphasise the ontological character of the phenomena in question.<sup>14</sup>

What has emerged from the literature on the subject is that since the midst of the first “lost decade,” which almost dramatically coincided with the Great Hanshin earthquake of 1995, the Japanese have been increasingly adopting or renovating micro-relational modes of civic friendship, solidarity, and responsibility in response to the failure of macro-developmental policies and crisis of macro-forms of cohesion and cooperation.<sup>15</sup> By these terms it is meant a vast array of cohesive arrangements such as those revolving around local civil society organisations,<sup>16</sup> including neighbourhood associations (*jichikai* or *chōnaikai*; NAs<sup>17</sup>) and community networks; joint-ventures in rural areas;<sup>18</sup> and interpersonal relationships arising from the activity of those incorporated, non-profit organisations (including NAs) which have

---

<sup>12</sup> Allison, *supra* note 3, p. 2.

<sup>13</sup> Benjamin, *supra* note 1, p. 3.

<sup>14</sup> Serizawa Shunsuke, for instance, speaks of *sonzaironteki hikikomori* (ontological withdrawal). Quoted in Allison, *supra* note 3, p. 74.

<sup>15</sup> Sorensen, *supra* note 6, p. 101; *id.* (2007), p. 66 and pp. 78–80; Ito (2007), p. 161; Sorensen, Koizumi, and Miyamoto (2008), p. 33; Imada (2010), p. 36. More broadly, see Nakano (2009); Cassegård (2014); Hirata (2002); Schwartz and Pharr (2003); Ogawa (2009); Avenel (2010); *id.* (2018).

<sup>16</sup> For present purposes, see Sorensen and Funck (2007); Brumann and Schulz (2012); Pekkanen, Tsujinaka, and Yamamoto (2014).

Defining civil society (*shimin shakai*) is no easy task. This is particularly the case with respect to its Japanese variant given that, as Pharr (2003), p. xv, aptly observed, “Japan’s civil society arises in a non-Western context in which words such as ‘rights,’ ‘public,’ and even ‘society’ were hard to translate.” An established definition in sociological literature, which this article draws from, emphasises the voluntary and associative nature of the relations in question. See Warren (2001).

<sup>17</sup> Discussed in this article.

<sup>18</sup> Kikkawa & Shinozaki (2010).

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

been granted legal status under the 1998 Law to Promote Specific Non-profit Activities (1998 NPO Law).

While scholars, particularly sociologists and anthropologists, have inquired consistently into Japan’s new small-scale, inter-subjective dynamics from diverse perspectives of inquiry,<sup>19</sup> there is a shortage of studies assessing the juridical (and thus, normative) status of their formation processes and regulatory regimes.<sup>20</sup> This article argues that the paradigm of societal constitutionalism developed by Gunther Teubner can be of precious assistance in conducting such an appraisal. More specifically, using NAs as case study, it shows that there are instances in which such micro-forms of societal relationality and responsibility meet the ‘four quality tests’ around which Teubner has developed his analytical framework. Whenever this happens, the formation and functioning of NAs can be categorised as self-constitutionalisation processes of social sub-areas and function systems in Teubnerian terms.

Starting from the premise that Eurocentric approaches have dominated the study of Japanese culture and society,<sup>21</sup> the article fully embraces the situational nature of sociological research. In so doing, it further acknowledges that while cross-cultural legal analysis cannot do without analytical concepts,<sup>22</sup> there are clear risks in using Western-based conceptualisations for analytical evaluations outside the West.<sup>23</sup> Further, the article acknowledges the “paradoxical”<sup>24</sup> relationship between comparative law (including its methods) and legal sociology. This explains why it offers a contextualisation of Teubner’s reflections on constitutional pluralism and diversification of social functions from a peculiar perspective of inquiry regarding Japan’s micro-associational landscape: Kiyoshi Hasegawa’s state-centric study of the formation and dynamics of NAs as community-based organisations in suburban areas

---

<sup>19</sup> These include the ways in which the natural disasters which, commencing from the Fukushima Daiichi nuclear power plant’s tsunami of March 2011, devastated Japan’s north-eastern, central, and southern regions have come to shed new light on the Japanese polity’s vulnerability and instability. See Kingston (2012); Samuels (2013); Mullins & Nakano (2015); Karan & Suganuma (2016). For a comparative survey, see Butt, Nasu, and Luke Nottage (2014). See also the (2012) 32 Special Issue of *The Journal of Japanese Law* on ‘Managing Disasters in Japan.’

<sup>20</sup> An exception is Takamura (2012).

<sup>21</sup> Okano (2017), p. 3.

<sup>22</sup> von Benda-Beckmann (2002), p. 42.

<sup>23</sup> Nelken (1995), p. 437; Chiba (1998). More generally, see Mattei (1997), p. 19; Husa (2015), p. 23.

<sup>24</sup> Cotterrell (2003), p. 131.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

The reason for choosing NAs as a case study is threefold. First, from a historical point of view, NAs are a clear example of the Japanese state's influence over the development of civil society organisations.<sup>25</sup> Secondly, although NAs represent a third of the civil society groups in Japan and are "the most important organization in most communities,"<sup>26</sup> only five per cent of them "ha[s] obtained legal status as authorized local area groups."<sup>27</sup> It therefore comes as no surprise that NAs have always been considered a fertile field of inquiry regarding the emergence, spread, and functioning of "traditional societal norms in Japan"<sup>28</sup> by anthropologists and sociologists alike. With respect to socio-legal theory in particular, NAs represent the perfect opportunity to (try to) determine whether societal micro-scale groups can be granted constitutional character beyond orthodox (i.e. state-based and positivistic) categorisations. Finally, and as it will be seen in due course, while such associations are primarily formed for coordinating, rather than assistive, purposes, the nature and dynamics of their shared cooperative activities as well as of the societal services they provide ultimately enhance a sense of purposiveness, community-belonging, responsibility, and "civicness" while also feeding back into renewed modes of subjective well-being.

It should however be clarified that the proposed analysis is not based on the author's own empirical fieldwork. The aim here is not to transplant an analytical framework (i.e. societal constitutionalism) from one context to another by relying on appositely selected and elaborated data. Rather, the aim is to determine what insights may be gained by juxtaposing the views of scholars belonging to different traditions and whose methods of investigation appear to share little or nothing. Indeed, and as will be shown, Teubner's and Hasegawa's pluralist and state-centric accounts are incompatible at several levels. However, much may be gained by, first, exploring them through the lenses of each other's methodologies of inquiry and findings; and secondly, implementing them with those of other sociologists and political theorists. In suggesting this theoretical endeavour, then, this article represents an exercise in comparative

---

<sup>25</sup> Unfortunately, the scope of this article does not allow to offer a historical introduction to NAs. See Sorensen (2006). Cf. Schmidtpott (2012).

<sup>26</sup> Pekkanen, Tsujinaka, and Yamamoto, *supra* note 16, p. 83. Yet many NAs are inactive and exist only by name. See also *ibid.*, 183 about the decrease of participation rates. Cf. Hashimoto (2007).

<sup>27</sup> *Ibid.*, 3. See also *ibid.*, 43–44.

<sup>28</sup> Applbaum (1996), p. 2.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

legal sociology aimed at assisting scholars in initiating a communal effort from which the academic debate on the Japanese's micro-relational bonds and growing "legal consciousness" may ultimately benefit. The adjective "legal" is used broadly as the project proposed here requires us to embark upon juridical as well as normative thinking on the ontological "whatness" and "howness" of such inter-subjective, humanising phenomena. Such an exercise commences by sharing Setsuo Miyazawa's methodological neo-culturalism—that is to say, the belief that the "analysis of encounters and transformations of legal cultures should take a bottom-up approach which starts from a micro level."<sup>29</sup> However, in embracing the premise of Miyazawa's contextual method of investigation, it also pushes it farther to transcend the boundaries of positivistic approaches to regulatory mechanisms. This move is simply necessary if we are to (try to) explore the constitutional character of the inter-subjective phenomena on which this article focuses, without neglecting their inner plurality and variable articulations. This explains the inter-disciplinary essence of the proposed analysis, which inevitably blends together fundamental aspects of political and legal theory, comparative methodology, phenomenology, and social ontology—specifically, civic consciousness, engagement, and embeddedness; philosophy of shared action and discursive coordination; collective intentionality and identity; phenomenology of plurality; biopolitical survival; and ethical existentialism.<sup>30</sup>

This article is structured as follows. The next Section sets out why Teubner's societal model is preferred over similar accounts, some of which Teubner draws from to frame his analytic. Section two introduces the basic thrust of Teubner's epistemic framework as outlined in his major works on the subject. Section three presents Hasegawa's thought on the formation and dynamics of NAs. Taking one step farther, section four engages with some central and pervasive themes concerning the proposed comparative analytic. Conclusive remarks follow.

---

<sup>29</sup> Miyazawa (1995), p. 102.

<sup>30</sup> I share Corsi's (2016), p. 11, view that a sociology of constitutions cannot but be interdisciplinary. For the scope of this article, see Benjamin, *supra* note 1; Benhabib (1992); Beiner (1995); Tuomela (2007); Esposito (2013); Dan-Cohen (2016); Durt, Fuchs, and Tewes (2016); Smith (2017); Preyer and Peter (2017).



‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

## II. Why Teubner (and not Others)?

Two considerations are in order before going any farther. First, it needs to be clarified why the suggested comparison uses Teubner’s paradigm instead of one (or more) of the many others that have been put forward within the cultural-historical and social organisation traditions. It might indeed be objected, with good reason, that other frameworks could be used for our comparative analytic—particularly Émile Durkheim’s positivist “organic” solidarity;<sup>31</sup> Eugen Ehrlich’s customary “living law”;<sup>32</sup> and Niklas Luhmann’s functionalist system theory.<sup>33</sup> Secondly, it needs to be outlined why, despite the fact that much of his reflections on legal pluralism and societal dynamics draw from two thinkers whose accounts are for present purposes set aside—namely, Ehrlich and Luhmann<sup>34</sup>—Teubner’s constitutional sociology is worth exploring.

In regards to the first point, commencing from Durkheim, the emphasis he placed on “those social trends which form the basis for solidarity”<sup>35</sup> to explain the movement from primitive to modern society might certainly sound appealing to assess the Japanese’s micro-relational practices of civic friendship and responsibility. However, Durkheim’s anti-subjectivist account of social facts<sup>36</sup> and view regarding modern law being a reflection of social consciousness would not allow us to comprehend the delicate dialectic between Japan’s societal dynamics and law’s nature, claims, functioning, and transformative potential. As the number of studies which have been conducted about the contemporary developments of Japan’s administrative apparatus and the growing “legal consciousness” of the Japanese indicate,<sup>37</sup> Japan is not yet (and it might never be) a form of polity in which “[l]aw is ... the most stable and precise

---

<sup>31</sup> Durkheim (1972); *id.* (1997), p. 28.

<sup>32</sup> Ehrlich (1916); *id.* (2002).

<sup>33</sup> Luhmann (2004).

<sup>34</sup> Teubner (1997).

<sup>35</sup> Hassard (1995), p. 15.

<sup>36</sup> Scheppele (2017), p. 36. The term “anti-subjectivist” is not used as a synonymous with “anti-individualist.” See Hunt (2013), p. 29.

<sup>37</sup> The literature on this topic is becoming increasingly abundant. With no pretension to exhaustiveness, see e Grayd (1984); Upham (1987); *id.* (2013); Haley (1991); West (2005); Feeley & Miyazawa (2007); *id.* (2011); Foote (2008); Martin & Steel (2008); Ginsburg & Scheiber (2012); Vanoverbeke *et al* (2014); Steinhoff (2014); Wolff, Nottage, and Anderson (2015). See also The Justice System Reform Council (JSRC), Recommendations of the Justice System Reform Council - For a Justice System to Support Japan in the 21st Century, (June 12, 2001). The JSRC was established by Law No 68 of 1999 and its 13 members were all approved by the Diet.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

element in [the] organization [of social life].”<sup>38</sup> If anything, the very emergence and spread of the micro-regulatory activities and sectorial interactional episodes this article explores confirm not only the presence of a political vacuum at the macro level in Japan (or a space of appearance in Arendtian terms), but also that many of the Japanese’s normative expectations continue not to find adequate accommodation in law as we are accustomed to consider it.<sup>39</sup> This suggests the inadequacy for present purposes of Durkheim’s view that “law is to be considered the primary form in which society, as a unity, expresses its moral essence, that is the distinctive moral character that gives it some kind of integrity and cohesion.”<sup>40</sup>

The same argument that leads to dismiss Durkheim’s positivist picture could, however, be used to argue for an analytical similarity between Ehrlich’s account of law and customs and the Japanese experience.<sup>41</sup> I refer to both Ehrlich’s argument that law cannot, and should not, be severed from culture and related critique of formalist legal doctrine for its incapacity to comprehend why social practices and patterns of behaviour are themselves to be considered as a society’s “living law.” Indeed, to Ehrlich, law is (and cannot but be) a social phenomenon in the sense that (from a normative, rather than empirical<sup>42</sup>) law is the expression of an ethnically homogenous community’s consciousness, compulsion, and working logic(s) rather than of the ruler’s commands, however institutionalised.<sup>43</sup> As a result, social order is neither formalistically created nor coercively maintained by state legal institutions, but is rather the direct consequence of behavioural conventions and micro-disciplinary dynamics<sup>44</sup>—a claim that Ehrlich substantiates by analysing associations’ spontaneous regulatory mechanisms (i.e.

---

<sup>38</sup> Durkheim (1997), p. 25.

<sup>39</sup> The fact that, in the words of Michael Freeman, “Durkheim has little understanding of legal processes, of how law is made, applied, and enforced” represents another reason to depart from his sociology of law. See Freeman (2014), p. 712.

Furthermore, and as it will be seen in Section IV, the adoption Teubner’s societal constitutionalism inevitably leads to reconsideration of what is meant by “legal consciousness” in Japan.

<sup>40</sup> Cotterrell (1999), p. 91.

<sup>41</sup> Scholars have already explored this route and arrived at contrasting conclusions. See Rokumoto (1972); Corne, *supra* note 4.

<sup>42</sup> Nelken (2008), p. 445.

<sup>43</sup> There are resemblances of Ehrlich’s argument in a passage of Teubner’s main work on the subject, even though Ehrlich is not mentioned. See Teubner (2012), p. 71.

<sup>44</sup> “A social association,” Ehrlich, *supra* note 32, p. 39, affirms, “is a plurality of human beings who, in their relations with one another, recognize certain rules of conduct as binding, and, generally at least, actually regulate their conduct according to them.”

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

constitutions) and living law’s interaction with the official law of the state. While an argument in favour of using Ehrlich’s pluralist model for our comparison would certainly be sound, it ultimately would have to be rejected for two reasons. First, because as noted by David Nelken, Ehrlich “moved promiscuously between the different levels of community, organization and individual.”<sup>45</sup> Secondly, because as a logical progression, opting for Ehrlich’s would be analytically appropriate *a posteriori* only, i.e. when and if the constitutional quality of the micro-relational bonds addressed here is confirmed. But even in that case, Ehrlich’s account would have to be dismissed due not only to its structural paradoxes and analytical inconsistencies,<sup>46</sup> but also to his lack of attention to deviance and sanctions dynamics.<sup>47</sup>

This leads us to Luhmann’s system theory of which, as mentioned, Teubner’s self-reflective societal constitutionalism is an expression.<sup>48</sup> A good reason to rely on Luhmann’s thought for our comparative analytic would certainly be the resemblance between his notion of legal systems’ operative (i.e. normative) closure<sup>49</sup> and Lawrence Friedman’s influential reflections, both within and outside the Japanese law literature, on law being a semi-autonomous self-regulative system.<sup>50</sup> There are, however, two major difficulties with using Luhmann’s functionalist theory and method for of our comparison. First, while Luhmann advocated an evolutionary and functionalist approach to constitutional norms, his anti-normative sociology considered to be misleading to hook our reading of society and its (sub)systems (including law) on such concepts as “people” and “human consciousness.”<sup>51</sup> According to Luhmann, indeed, the development of a truly scientific understanding of society as a system of communications requires the drawing of empirically-verifiable theoretical boundaries, and thus, the abandonment of ideological illusions as perspectives of inquiry. While such a move is justifiable in Luhmann’s case, the research this article promotes asks that such elements are taken into account so that new light can be shed on the relationship between law’s content,

---

<sup>45</sup> Nelken, *supra* note 42, p. 468.

<sup>46</sup> Nimaga (2009), pp. 162–63.

<sup>47</sup> Griffiths (1986), pp. 23–28; Febbrajo (2016), p. 73.

<sup>48</sup> Teubner, *supra* note 43, p. 105.

<sup>49</sup> Luhmann, *supra* note 33, pp. 141–72.

<sup>50</sup> Friedman (1975). Cf. King (2013), pp. 70–71.

<sup>51</sup> Luhmann, *supra* note 33, p. 142. This might also explain why, as recently noted by Hanna (2017), p. 350, “the organization of social movements simply did not figure in Luhmann’s research interests.”

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

function, and performance on the one hand, and the “whatness” and “howness” of constitutional phenomena on the other. “In the objective content of science,” Ernst Cassirer aptly pointed out in his account of human culture, “[the] individual features are forgotten and effaced, for one of the principal aims of scientific thought is the elimination of all personal and anthropomorphic elements.”<sup>52</sup> The inter-disciplinary analysis proposed here – also revolving, as set out above, on such topics as shared action, collective intentionality and identity, phenomenology of plurality, and ethical existentialism – requires instead that such elements are given full consideration.<sup>53</sup> The other difficulty is related to the core of Luhmann’s theory, i.e. his categorisation of law in functionalist terms. To Luhmann, social systems are defined by their specific function. Law is one of such systems and its function is to meet (or stabilise) normative expectations.<sup>54</sup> This is how, through its binary “legal-illegal” code<sup>55</sup> and internal sub-systems such as legislative apparatuses and courts,<sup>56</sup> (the) law creates and maintains social order. While Luhmann’s theory is highly influential, the problem with his functional approach for our purposes is that the emergence and diffusion of the micro-relational phenomena under consideration here reveal the inability of Japanese law and governance structures (*Rechtssystem*) to perform the stabilising, regulatory instances Luhmann assigns to them.

Moving on to the second consideration, it needs to be clarified why it is worth asking whether Teubner’s societal constitutionalism might assist scholars in developing an analytic of the formation and dynamics of the Japanese’s micro-forms of social cohesion and responsibility. Without anticipating what will be seen in the following pages, it will suffice to say that what makes such an intellectual endeavour worthwhile is the capacity of Teubner’s paradigm to decode the juridical and normative force of current (sub)modes of organisational proliferation and functional differentiation.

---

<sup>52</sup> Cassirer (1944), p. 228.

<sup>53</sup> Further, it should be noted that history has already proved the limits of positivist reason in sociological analysis. See Hughes (1977).

<sup>54</sup> Luhmann *supra* note 33, pp. 142–72.

<sup>55</sup> *Ibid.*, 122–31.

<sup>56</sup> *Ibid.*, 274–304. But see King & Thornhill (2003), p. 35.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

As Teubner duly notes, the American sociologist David Sciulli was the first one, in the early 1990s,<sup>57</sup> to “develop a refined theory of societal constitutionalism.”<sup>58</sup> Sciulli’s concept has been increasingly used in recent years to analytically assess all those pluralist configurations of private orderings that challenge the Westphalian model of the modern state as an authoritarian construct that exercises exclusive sovereign powers over a given territory and people. Drawing from, as well as transcending, well-established categorisations within sociological discourse, Teubner makes specific use of Sciulli’s paradigm to uncover the constitutional status of autonomous sites of rule-production and enforcement which transcend conventional boundaries and background assumptions of classic constitutional theory—such as, for instance, the “public-private” divide and the “state-and-politics centrality” which inform modern constitutionalism.<sup>59</sup> Teubner does so by also pushing one step farther Reinhart Koselleck’s attempt at “liber[ating] constitutionalism from its limitation to the state and to extend it to all institutions of society.”<sup>60</sup> The purpose of Teubner’s pluralist constitutional sociology is indeed to set out and legitimise the formation and normative working logic(s) of contemporary social sub-systems by overcoming some of the basic difficulties of modern constitutionalism. This, in turn, helps legal theories and practitioners solve some of the longstanding constitutional issues surrounding the increasing relevance of fast-evolving processes of diversification and fragmentation that shape the uncertain and liquid normative architecture of our time and challenge the rigid “national/international” dialect.

Some commentators might at this point object that Teubner’s pluralist theory is primarily aimed at meeting the challenges facing national constitutions in the context of globalisation and transnationalism. As a logical progression, the argument would conclude that it would be analytically inappropriate to tie Teubner’s reflections to the societal dynamics of a national polity like Japan. While sound, this argument ought to be rejected for two reasons. First, it misses the structural role that the development of the so-called transnational civil society has played in the formation and spread of civil society instances in Japan.<sup>61</sup> Secondly, it obfuscates

---

<sup>57</sup> Sciulli (1992).

<sup>58</sup> Teubner (2017), p. 316.

<sup>59</sup> Teubner (2008); *id supra* note 43, p. 3.

<sup>60</sup> Teubner *supra* note 43, p. 16.

<sup>61</sup> Yamamoto (1999); Imada (2010), pp. 34–7.

the fact that Teubner’s analysis covers the pluralisation of regulative sources and norm-setting bodies, as well as the systemic diffusion and penetration of regime shifting mechanisms not only at the macro and meso, but also at the micro levels. And indeed, in arguing that societal constitutionalism predates globalisation,<sup>62</sup> Teubner has not failed to grasp the relevance of micro-social dynamics and functional differentiation processes to substantiate his analytic of constitutionalisation phenomena.<sup>63</sup>

### III. Teubner’s Constitutional Pluralism

As recently set out by Paul Blokker, “[t]he sociological analysis of constitutions and constitutionalism is, in important respects, concerned with the analysis of the emergence of constitutional structures outside of the formal political realm of the nation state.”<sup>64</sup> A protagonist in this field, Teubner has over the years developed “one of the most highly evolved positions in the contemporary sociology of law and legal-political norms.”<sup>65</sup> Its premise is that today’s globalisation “is a highly contradictory and highly fragmented process in which politics has lost its leading role.”<sup>66</sup> This “multi-placed scenario” not only vindicates Ehrlich’s “opinion that a centrally produced political law is marginal compared with the lawyers’ law in practical decision-making and especially with the living law of the Bukowina.”<sup>67</sup> Above all, it indicates that “positivist theories of law which stress the unity of state and law as well as . . . critical theories which tend to dissolve law into power politics”<sup>68</sup> are inadequate to decipher the legal character of contemporary global and transnational regulatory dynamics.<sup>69</sup> Thus lawyers have to look elsewhere if they are to efficiently navigate through the theoretical malleability and practical complexities that contradistinguish pluralist regulative phenomena beyond the state.

---

<sup>62</sup> Teubner (2010), pp. 329–34.

<sup>63</sup> Teubner, *supra* note 43, pp. 1–2, p. 6, pp. 15–41, p. 60, and p. 65.

<sup>64</sup> Blokker (2017), p. 178.

<sup>65</sup> Thornhill (2011), p. 244.

<sup>66</sup> Teubner, *supra* note 34, p. 3.

<sup>67</sup> *Ibid.*

<sup>68</sup> *Ibid.* See also Teubner, *supra* note 43, p. 61 and p. 74; *id.* (2013), p. 54.

<sup>69</sup> It is worth noticing that Teubner is amongst those commentators that use the terms “globalisation,” “transnationalism,” “global law,” and “transnational law” in such a way as to highlight what unites them. See Teubner *supra* note 34; *id.* (2015), p. 248; Teubner and Korth (2012), pp. 23–54.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

In particular, they should start exploring the “social source of global law,”<sup>70</sup> which Teubner identifies with “the proto-law of specialized, organisational and functional networks which are forming a global, but sharply limited, identity.”<sup>71</sup> This “new living law,” Teubner maintains, “is nourished . . . from the ongoing self-production of highly technical highly specialized, often formally organized and rather narrowly defined, global networks of an economic, cultural, academic or technological nature.”<sup>72</sup>

Global and transnational law’s peculiar content and functioning force therefore require interpreters and practitioners to abandon conventional (i.e. state-centric) assumptions regarding not only law’s formation and functioning broadly understood, but also regarding the political essence of constitutional development. In particular, it does so to an extent by which it “poses not just regulatory questions, but also constitutional problems in the strict sense.”<sup>73</sup> Among these stand “the question of the fundamental constitution of social dynamics.”<sup>74</sup> Such ontological interrogative, Teubner notes, is brought about by the “new constitutional reality” to the extent that this “is characterized by the co-existence of independent orders, not only of states, but at the same time also of autonomous non-state social structures.”<sup>75</sup> These “islands of the constitutional”<sup>76</sup> are, in fact, actual “[c]onstitutional norms [which] are developed ad hoc when a current conflict assumes constitutional dimensions and requires constitutional decisions.”<sup>77</sup>

Thus the need for a constitutional sociology capable of “overcom[ing] the obstinate state-and-politics-centricity”<sup>78</sup> and answering the “new constitutional question”<sup>79</sup> prompted by the fast growing emergence and spread (and thus, constitution) of pluralist regulatory activities and modes of legalisation that transcend state-based categorisations. A need that constitutional lawyers simply dismiss while arguing that “[t]he so-called constitutions beyond the state . . .

---

<sup>70</sup> Teubner, *supra* note 34, p. 5.

<sup>71</sup> *Ibid.*

<sup>72</sup> *Ibid.*

<sup>73</sup> Teubner, *supra* note 43, p. 1.

<sup>74</sup> *Ibid.*

<sup>75</sup> *Ibid.*, 52.

<sup>76</sup> *Ibid.*, 51.

<sup>77</sup> *Ibid.*, 52.

<sup>78</sup> *Ibid.*, 3.

<sup>79</sup> *Ibid.*, 1.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

lack a social substrate that could provide a suitable object for a constitution.”<sup>80</sup> What is rejected, in particular, is the fact that “globalization produces a tension between the *self-foundation* of autonomous global social systems and their political-legal *constitutionalization*.”<sup>81</sup> What a closer, non-doctrinal but socially-grounded observation would reveal, however, is that “in the discrepancy between globally established social subsystems and a politics stuck at inter-state level, the constitutional totality breaks apart and can then only be replaced by a form of constitutional fragmentation.”<sup>82</sup>

This is where Teubner moves away from what he labels the “basic deficiency of modern constitutionalism”<sup>83</sup> and deploys his self-reflective societal model which operationalises pluralist regulatory practices, arrangements, and instruments in constitutional terms by blending together fundamental aspects of Sciulli’s societal constitutionalism and Luhmannian structural coupling.<sup>84</sup> At the centre of Teubner’s move lies a series of substantive as well as methodological considerations. First, Teubner notes, the constitution is both essentially and existentially the result of communicative, conflicting, and self-defining social practices rather than of formal political-legal processes of legitimation and validation. Thus we read that “the constitution is too important to be left to constitutional lawyers and political philosophers alone.”<sup>85</sup> What a constructivist reading of the constitutional moment would miss—and this is the second consideration—is that the “the constitution in the first instances serves to enable the self-foundation of a social system.”<sup>86</sup> The self-reflective constituting process ought therefore to be decoded both in societal and functionalist terms, i.e. as an autopoietic mechanism aimed at self-establishing and self-organising social spheres. Not coincidentally, when elaborating on this passage, Teubner quotes Luhmann, according to whom “every function system defines its own identity for itself . . . through an elaborated semantics of self-ascription of meaning, of

---

<sup>80</sup> *Ibid.*, 59.

<sup>81</sup> *Ibid.*, 43.

<sup>82</sup> *Ibid.*, 51.

<sup>83</sup> *Ibid.*, 3.

<sup>84</sup> As Teubner (2014), p. 235, himself affirmed when calling for a “distanced” encounter between law and social theory, “[t]here is no single social theory upon which the law could orient itself.”

<sup>85</sup> *Ibid.*, 3. See also *ibid.*, 59; *id.* (2013), p. 46.

<sup>86</sup> *Ibid.*, 103.



‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

reflection, of autonomy.”<sup>87</sup> However, at the same time Teubner makes it clear that a phenomenological analytic of societal constitutions would confirm that

[t]he comprehensive structural coupling of politics and law, observed by Luhmann in the constitutions of nation states, clearly has no equivalent at the level of world society. At the same time, occasional couplings can be seen as and when social problems demand. Constitutional norms are developed ad hoc when a current conflict assumes constitutional dimensions and requires constitutional decisions.<sup>88</sup>

This is a key-passage in Teubner’s theory to the extent that, he observes, national law can “no longer externalis[e] its paradoxes to politics, but diverts it to other social systems [by] look[ing] for a different constitutional foundation of its norm production.” Thus, “[i]f it is no longer the state constitution that is enlisted for externalising paradoxes, but the constitutions of social subsectors, so of the economy, the media, science and healthcare, then there are immediate, tangible consequences.”<sup>89</sup> This can only be grasped, however, if constituent power is realistically and efficiently re-thought “as a *communicative* potential, a type of social energy.”<sup>90</sup> Understanding constitutional power in this way allows interpreter (finally) to comprehend how the self-reflective process witness at the national level is being replaced by a multitude of (inherently pluralistic<sup>91</sup>) self-reflective constitutional instances amongst the various sub-orderings of society. Thus Teubner writes:

[c]onstitutions deal with the paradoxes of self-reference practically by externalizing them to the surrounding context. Social systems are never entirely autonomous: there are always points of heteronomy. If this externalization now occurs with the help of constitutions, the moment of heteronomy comes when the social system refers to the law. The ‘self’ of the social system is defined heteronomously by legal norms and it can then define itself autonomously thereby. While the unity of a social system develops through the concatenation of its own operations, its identity is created in its constitution through the re-entry of external legal descriptions into its own self-description.<sup>92</sup>

---

<sup>87</sup> Teubner (2011), p. 14, quoting Luhmann.

<sup>88</sup> *Ibid.*

<sup>89</sup> Teubner (2016), p. 33. See also *id. supra* note 43, p. 75.

<sup>90</sup> Teubner *supra* note 43, 62. Emphasis in original.

<sup>91</sup> “Societal constitutionalism,” Teubner (2016), p. 41, asserts, “paints a picture of constitutional pluralism, although one that is anything but uniform, since it realises different degrees of intensity of constitutionalisation.”

<sup>92</sup> Teubner *supra* note 43, p. 65.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Hence the core of Teubner’s constitutional theory, according to which

the constitutional moment refers to the immediate experience of crisis, the experience that an energy released in society is bringing about destructive consequences, the experience that can be overcome only by a process of self-critical reflection and a decision to engage in self-restraint.<sup>93</sup>

From this it follows that the self-structuring of societal constitutions inevitably requires to take into account what would happen when the sub-system’s “growth-energies”<sup>94</sup> accelerate “to the point where it tips over into destructiveness by colliding with other social dynamics.”<sup>95</sup> It is at the verge of these “moments of catastrophe”<sup>96</sup> that constitutional rules emerge to limit the system’s “excessive growth process.”<sup>97</sup> However, the response to this excess of signification takes the form of an autopoietic, self-immunising reaction as “it is only possible to invent these limitations from within the system-specific logic, and not from the outside.”<sup>98</sup> External pressures are, then, internalised through structural coupling—i.e. they are absorbed by the function system so that its “internal limitations are configured and become truly effective.”<sup>99</sup>

These dynamics explain why, despite the fact that they inevitably transcend the rigid parameters imposed by modern constitutionalism on intellectual configurations, societal constitutions are able to exert those constitutive and limitative functions proper of national constitutions. This aspect is further developed by Teubner through an analysis of the role played, in each function system, by what he defines as the “organized-professional sphere,” the “spontaneous sphere,” and various “collegial institutions”<sup>100</sup> which are “responsible for the self-regulation of the communicative media—power, money, law, and truth.”<sup>101</sup>

---

<sup>93</sup> *Ibid.*, 82.

<sup>94</sup> Teubner *supra* note 87, p. 12.

<sup>95</sup> *Ibid.*, 10.

<sup>96</sup> *Ibid.*, 12.

<sup>97</sup> *Ibid.*, 13.

<sup>98</sup> *Ibid.*, 14.

<sup>99</sup> *Ibid.*, 13.

<sup>100</sup> Teubner *supra* note 43, p. 101.

<sup>101</sup> *Ibid.*, 89–96. See also *ibid.*, 101:

[c]ollegial institutions are reflexive bodies aimed at social self-identification in two senses: they establish the specific rationality and normativity of the social sphere and they seek to make them compatible with their environments. The collegial institutions function as a kind of think-tank for the sub-constitution, which for its part governs the ecological relations of the social system.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

This conceptualisation is taken up again later on, when we read that “[s]ocietal constitutionalism opposes the centralization of fundamental socio-political issues in the political system. Its concern is to multiply the sites where controversies are fought and decisions are made about the ‘political’ in society.”<sup>102</sup> Critically, it should be noted that none of this would be possible without the actively decisive “consciousness and corporeality of actual people.”<sup>103</sup> It is indeed this anthropological element of “inter-subjectivity,”<sup>104</sup> as Teubner calls it, that “*triggers the pouvoir constituant, the potential, the capacity, the energy, indeed the power of self-constitutionalization: the reciprocal irritations between society and individuals, between communication and consciousness.*”<sup>105</sup> This anti-Lehmannian aspect of Teubner’s theory is of pivotal importance for the healthy development of the inter-disciplinary research proposed here. This is so despite the fact that right after having so claimed, Teubner returns to Luhmann and clarifies that “there is no uniform shared meaning, no merging of horizons between the minds involved, but rather a series of separate but intersecting consciousness and communication processes.”<sup>106</sup>

This reconstruction of the constitutional paradox poses, however, a “fundamental problem”<sup>107</sup> regarding the self-limiting autonomy and identity of independent orders for which Teubner’s societal constitutionalism aims to offer a solution: “How is it possible,” Teubner asks, to increase external pressure in order to stem the negative externalities of autonomous subsystems by means of their internal self-limitation?”<sup>108</sup> As can be easily guessed, this interrogative hides, in fact, a meta-ontological question concerning the very method through which Teubner draws and promotes his societal picture—an interrogative that, as will be seen below, his critics did not fail to pose. Teubner is, of course, aware of this and from the very beginning of *Constitutional Fragments* clarifies that his sociological constitutionalism

---

<sup>102</sup> *Ibid.*, 121.

<sup>103</sup> *Ibid.*, 63.

<sup>104</sup> *Ibid.*

<sup>105</sup> *Ibid.* Emphasis in original.

<sup>106</sup> *Ibid.* Thus transnational constitutions can do without representative democracy. See Teubner (2018)

<sup>107</sup> *Ibid.*, 41.

<sup>108</sup> *Ibid.*

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

is based on four different variants of sociological theory. Primarily, it draws on general theories of social differentiation that move the internal constitutions of social subsystems to the centre of attention. It is also based on the newly established constitutional sociology, further, on the theory of private government and, finally, on the concept of societal constitutionalism.<sup>109</sup>

Further, “[c]onstitutional sociology . . . promises to link historical and empirical analyses of the constitutional phenomenon with normative perspectives.”<sup>110</sup> And indeed, while traditional constitutionalism finds no accommodation within this new societal framework, Teubner reassures us that “[i]n empirical and in normative terms, there are lessons to be learnt from the rich history of nation-state constitutions.”<sup>111</sup> Importantly, while Teubner concedes that “[c]onstitutional sociology can by no means predetermine legal principles, not to mention individual constitutional rules,”<sup>112</sup> his theory cannot avoid “modify[ing] the prerequisites for constitutional substrates.”<sup>113</sup> It does so by contending that

[f]irstly, the constitution should be disconnected from statehood, so that transnational issue-specific regulatory regimes may be considered candidates for constitutionalization. Secondly, the constitution should be decoupled from institutionalized politics, thus allowing other areas of global civil society to be identified as possible constitutional subjects. Thirdly, the constitution should be decoupled from the medium of power, thus making other media of communication possible constitutional targets.<sup>114</sup>

This multi-faced, intellectual turn revolves around two rather controversial steps which accommodate constitutional law’s sociological necessity to “concentrate on developing *limitative rules* for transnational regimes”<sup>115</sup> and “develo[p] constitutional rules that are in a position to respond to the motivation-competence dilemma that transnational regimes are facing.”<sup>116</sup> These steps are: an empirically-grounded re-elaboration of such notions as the afore-mentioned *pouvoir constituant-constitué*, the constitutional subject, and collective

---

<sup>109</sup> *Ibid.*, 3.

<sup>110</sup> *Ibid.*

<sup>111</sup> *Ibid.*, 60.

<sup>112</sup> Teubner (2013), p. 56.

<sup>113</sup> Teubner, *supra* note 43, p. 60.

<sup>114</sup> *Ibid.*, 60.

<sup>115</sup> Teubner (2013), p. 57. Emphasis in original.

<sup>116</sup> *Ibid.*

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

identity; and an equally empirically-grounded re-elaboration of the “juridification-constitutionalisation” dichotomy.<sup>117</sup>

According to Teubner, the suggested roadmap allows us to reorient constitutional language beyond the narrow perimeter of orthodox (i.e. state-based) configurations so that self-limiting societal regulatory practices may be granted constitutional character. Both passages serve Teubner to, first, detach the decoding of the foundational dynamics and working logics of intermediary groups, social sub-areas, and functional orderings from the formalist reading of modern constitutional theories centred around state-formation processes; and secondly, preserve the constitution as a conceptual construct. And indeed, Teubner notes, “[a]lternative terms, such as ‘meta-regulation’, ‘indispensable norms’, or ‘higher legal principles’ are inadequate to comprehend the complexity of issues that the concept ‘constitution’ covers.”<sup>118</sup> Rather, what is required is a complete reconceptualization of the constitution as “a living process, the self-identification of a social system with the assistance of the law.”<sup>119</sup> As Teubner clarifies a little later when setting out why “transnational constitutionalism goes far beyond a mere juridification of societal sphere”<sup>120</sup>:

[s]ocietal constitutions are . . . defined as structural coupling between the reflexive mechanisms of the law (that is, secondary legal norm creation in which norms are applied to norms) and the reflexive mechanisms of the social sector concerned.<sup>121</sup>

Under this new effectual, yet “irritating,”<sup>122</sup> light,

[t]he norms of a transnational regime will have to pass the following quality tests in order to count as constitutional norms:

- (1) *Constitutional functions*: do transnational regimes produce legal norms that perform more than merely regulatory or conflict solving functions, ie act as either ‘constitutive rules’ or ‘limitative rules’ in the strict sense?
- (2) *Constitutional arenas*: is it possible to identify different arenas of constitutionalization—comparable to the arenas of organized political processes

---

<sup>117</sup> Teubner *supra* note 43, p. 16, p. 18, p. 73, p. 79, and p. 105.

<sup>118</sup> *Ibid.*, 60.

<sup>119</sup> *Ibid.*, 71.

<sup>120</sup> *Ibid.*, 102.

<sup>121</sup> *Ibid.*, 105.

<sup>122</sup> Teubner, *supra* note 43, p. 15; *id.* (2013).

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

and the spontaneous process of public opinion, as they are regulated in the organizational part of state constitutions?

(3) *Constitutional processes*: do the legal norms of the regimes develop a sufficiently close connection to their social context or their ‘nomic community’—comparable to that between constitutional norms and the ‘nomic community’ of nation states?

(4) *Constitutional structures*: do the regimes form typical constitutional structures as they are known in nation states, in particular the familiar superiority of constitutional rules and judicial review of ordinary law?<sup>123</sup>

The argument pursued by this article is that these “quality tests” regarding the emergence and dynamics of (de-formalised) sectorial constitutions may assist scholars in assessing the analytical register of the formation, organisational structure, and regulatory mechanisms of NAs as micro-relational arenas of civic friendship, solidarity, and responsibility. More particularly, and as mentioned earlier, it submits that much may be gained by contextualising Teubner’s societal constitutionalism through the lens of Hasegawa’s scholarship on the formation and dynamics of NAs as community-based organisations and network systems in suburban areas—to be explored in the next Section.

#### IV. Hasegawa’s State-centric Account

Hasegawa is a leading legal sociologist and comparatist whose scholarship explores the role that state law and informal, pluralist arrangements play in the formation and development of micro-scale communities in suburban areas. Hasegawa’s socio-legal studies focus on the relationship between communities’ purposive actions, social normativity, and law’s instrumentality. In terms of methodology, his accounts share some affinity with the so-called “mobile method” of empirical research<sup>124</sup> on pluralist orderings and shared cooperative activities. Hasegawa’s aim is indeed to decode the way in which the regulatory dynamics of micro-relational bonds help mould a sense of civic embeddedness, collective solidarity and responsibility, and mutual understanding. This is a theme that Hasegawa investigates not only from the standpoint of Kawashima’s seminal reflections on the Japanese’s “legal

---

<sup>123</sup> *Ibid.*, 74–5. See also *id.* (2013), pp. 54–6.

<sup>124</sup> Büscher & Urry (2009).

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

consciousness,” mentioned earlier, but by also drawing from such Western political theorists, sociologists, and lawyers as Tocqueville, Ehrlich, Giddens, Luhmann, Galanter, Hart, and Teubner.

For the purposes of our discussion, attention should be paid to Hasegawa’s state-centric reflections on the regulatory functions of NAs as set out in his Japanese monograph on the subject<sup>125</sup> and in a more recent article which is aimed at re-contextualising his main findings for the English-speaking readership.<sup>126</sup> Hasegawa describes such community-based organisations as “*nonlegal*, unincorporated voluntary associations [which] are created neither by statutes nor contracts but on a voluntary basis.”<sup>127</sup> Hasegawa’s intention with this definition is to emphasise how the nature and functions exerted by such voluntary groups do not fall within the purview of state-based configurations and constructs, including law. That this categorisation is underpinned by the public-private law dialectic clearly emerges a little later, when Hasegawa reiterates that “NAs are a kind of *nonlegal* social relationships”<sup>128</sup> and specifies that he categorises “social relationships that are prescribed by any existing express legislative enactments as *legal* relationships, while those that are not prescribed are *nonlegal* ones.”<sup>129</sup>

While merely introductory, these definitions are of pivotal relevance for our purposes as they reveal the state-based (i.e. positivistic) substratum of Hasegawa’s method of inquiry. Importantly, the voluntary, and thus, not properly legal, nature of NAs has been stressed by other commentators as well. In a seminal work on the subject, for instance, Robert J. Pekkanen has similarly described NAs as “voluntary groups whose membership is drawn from a small, geographically delimited, and exclusive residential area (a neighbourhood).”<sup>130</sup> These categorisations are rooted in the 2005 Supreme Court ruling where it was held that participation

---

<sup>125</sup> Hasegawa (2005).

<sup>126</sup> Hasegawa (2009).

<sup>127</sup> *Ibid.*, 80. Emphasis in original.

<sup>128</sup> *Ibid.*, 84. Emphasis added.

<sup>129</sup> *Ibid.* Emphasis in original.

<sup>130</sup> Pekkanen (2006), p. 87.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

in NAs is not compulsory.<sup>131</sup> Yet, it should be noted that membership has never represented a problem as it is maintained by household. Thus Yutaka Tsujinaka, Hidehiro Yamamoto and Pekkanen observe that “Japanese NHAs have more members than almost any other civil society in the world.”<sup>132</sup> This is also due to the fact that, despite their voluntary nature, NAs can exert considerable social pressure on those householders who do not take part in them<sup>133</sup> or who, after having joined, do not contribute financially or actively engage.<sup>134</sup>

With respect to the services which NAs provide, in an early study, Applbaum noted that these “can be classed into three areas: environmental, social (including spiritual), and political.”<sup>135</sup> Writing two decades later, Pekkanen tells us that their “activities are multiple and centered”<sup>136</sup> in the local area of interest. Further, after having suggested that NAs “can be characterized by the ‘four smalls’: they have small membership, small numbers of professional staff, and small budgets and operate on a small local area,”<sup>137</sup> Pekkanen observes that “[t]hrough this organizational form alone Japan exhibits a remarkable vital local civil society.”<sup>138</sup> Pekkanen’s broad definition may be combined with what Tsujinaka, Yamamoto and Pekkanen maintained a few years later—namely, that NAs’ “activities include local environment, social events among the residents, safety and welfare activities, cooperation with local government through disseminating information among residents, and articulation of local demands to government.”<sup>139</sup> An analogous statement may be found in Hasegawa’s account, where we read that:

NAs usually hold various functions and establish a system of mutual assistance. For example, they organize social gatherings and community festivals among the residents; enable recreation, crime-and-fire prevention and garbage collection;

---

<sup>131</sup> Supreme Court of Japan, April 26 2005, Claim for Membership fee of *Jichikai* (Case Number: (Ju) 1742), 216 Shumin, 639, available at <[http://www.courts.go.jp/app/files/hanrei\\_jp/595/062595\\_hanrei.pdf](http://www.courts.go.jp/app/files/hanrei_jp/595/062595_hanrei.pdf)> accessed June 16 2018.

<sup>132</sup> Pekkanen, Tsujinaka, and Yamamoto, *supra* note 16, p. 63.

<sup>133</sup> Cf. Applbaum, *supra* note 28, pp. 13–5 and pp. 22–6.

<sup>134</sup> Hasegawa, *supra* note 126, p. 80.

<sup>135</sup> Applbaum, *supra* note 28, p. 2.

<sup>136</sup> Pekkanen, *supra* note 130, p. 87. Surprisingly enough, Pekkanen’s work is not cited in Hasegawa’s paper.

<sup>137</sup> *Ibid.*, 27.

<sup>138</sup> *Ibid.*, 32.

<sup>139</sup> Pekkanen, Tsujinaka, and Yamamoto *supra* note 16, p. 2.



'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

ensure that the neighborhood is clean and serve as information channels for the happenings in the city and as vote-gathering machines in their constituencies. In short, NAs have quasi-public characteristics because they provide local public services.<sup>140</sup>

It is against this broadly defined, functional description that Hasegawa aims to assess the peculiar role that NAs play in enhancing and regulating societal interaction and resolving small-scale disputes in suburban areas which are either regulated by building agreements (BAs) or not. Yet, it should be mentioned that Hasegawa's micro-sociological research only indirectly touches on some of the themes at the centre of this article regarding human sociability and reciprocal altruism. I refer to such aspects as NAs' ability to satisfy participants', and thus, communities' existential needs by correcting societal marginalisation and improving their well-being. As such, Hasegawa's analysis cannot directly assist us in unfolding the societal role that NAs play in shaping what for our purposes may be defined as cultural evolution of micro-scale sociality and group responsibility. Hence in the next Section his findings will be complemented with those of other sociologists and anthropologists who have problematised the contextual nature of relational phenomena from this perspective of inquiry as well.

Hasegawa commences his considerations by noting that "[w]hen BAs are created or enforced, NAs often provide resources such as money, manpower and meeting places, though they have no legal relation to BAs."<sup>141</sup> Further, Hasegawa maintains that "NAs draw up rules containing quasi-regulations that resemble those of the BAs in their neighborhoods. They also impose various social sanctions on those who violate these quasi-regulations and urge them to stop or modify their construction by referring to them."<sup>142</sup>

After having so clarified, Hasegawa moves on to setting out as well as commenting on seven case studies, two of which are of particular interest to us as they involve disputes outside BAs areas, and thus, institutionalised regulatory arrangements. This empirically-grounded analysis constitutes the core of Hasegawa's study as it serves him to prove that despite being, as he calls

---

<sup>140</sup> Hasegawa *supra* note 126) 80.

<sup>141</sup> *ibid.*, 82.

<sup>142</sup> *ibid.*

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

them, non-legal relationships, occasionally “NAs are . . . appropriated for the use of other *legal* relationships.”<sup>143</sup>

This passage is of the essence to grasp the core of Hasegawa’s findings regarding the extra-legal nature, as he labels it, of the services which NAs provide. Indeed, commenting on the first of the two case studies which do not involve BAs, Hasegawa writes:

even those who do not join the BA are often members of the NAs. Therefore, they are bound by the rules or decisions of the NAs. Sometimes, these rules include not only moral codes or etiquette but also quasi-regulations that resemble the regulations of the BAs. Although some rules are private contracts between residents, others are not, either because not all residents agree to the rules or not all the regulations can be legally enforced. However, though the residents often know that the latter are not legally binding, they obey them out of necessity. We can call rules in the NAs that are not legally binding *nonlegal* rules.<sup>144</sup>

The case concerned the construction of a building whose dimensions, it turned out, did not comply with the requirements set out by the local BA. Before purchasing the land, the constructor had been assured that a BA would not be created, yet one was eventually formed which resembled old-established NA rules concerning land-use and building dimensions. Because the constructor did not join the BA, the relevant homeowners association suggested a “compromise solution”<sup>145</sup> which, after a long negotiation, led to a settlement. What is of interest here is that all of this was done outside the institutional purview of the BA, into which the constructor had not entered. Thus, the parties had to resort to the NA’s (*non-legal*, according to Hasegawa) rules for solving their dispute. Drawing from Hart, Gouldner, and Luhmann, Hasegawa concludes that

[i]n modern society, legal relations are not reciprocal ones that need local compensatory arrangements such as *noblesse oblige* or mutual concessions, but complementary ones in which such arrangements are not needed. Therefore, when the residents cannot rely on the legal relations based on the BA, they have only reciprocal relations based on the NA to rely on.<sup>146</sup>

---

<sup>143</sup> *ibid.*, 84.

<sup>144</sup> *ibid.*, 92. Emphasis added.

<sup>145</sup> *ibid.*, 93.

<sup>146</sup> *ibid.*

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

The second dispute concerned the construction of 26 single-family houses on a retarding basing whose area was not covered by the local BA. According to the latter, the lots could only be sub-divided if they met specific requirements which, according to pre-construction planning, they did not. While the BA could not apply, the NA had previously stated that “land use of lots outside or near the BA area is also bound by the BA.”<sup>147</sup> The issue therefore arose as to whether the BA could be indirectly enforced via reference to the NA’s regulatory regime. After extended negotiations, the developer agreed to most of the requests made by the NA’s board to prevent the construction works being delayed. In his observations on the case, Hasegawa explains that “although [the developer] was an outsider, the board could not strongly oppose [him] since the rules of the NA were *nonlegal*. However, these rules showed the solidarity of this community.”<sup>148</sup>

In his conclusive remarks, Hasegawa further reflects on his findings and notes, amongst other things, the following:

- a) In the BA areas, residents took legal rules into account when facing conflicts concerning BAs. It is true that, in most cases, they settled their disputes through compromises or negotiations out of court. However, they recognized their rights, observed their disputes from a legal point of view and referred to the legal rules.<sup>149</sup>
- b) When residents discussed and settled their disputes concerning the BAs, NAs played important roles. Although NAs are *nonlegal* associations, they often act as a seedbed for legal associations (e.g. HOAs). NAs often supported HOAs by providing them with resources and imposing social sanctions on deviants . . . The social network of the NAs was ‘appropriated’ by the residents who utilized the legal system. In the neighborhood, there was a dualistic social structure that consisted of the HOAs and the NAs. Both often worked together.<sup>150</sup>
- c) In the neighborhood, the residents occasionally referred to and utilized not only legal rules but also *nonlegal* rules such as the residential rules of the NAs. The residents often recognized the difference between legal and *nonlegal* rules and were also aware of their limitations. This is why the board had to refer to the *nonlegal*

---

<sup>147</sup> *ibid.*, 94.

<sup>148</sup> *ibid.* Emphasis added.

<sup>149</sup> *ibid.*, 95.

<sup>150</sup> *ibid.* Emphasis added.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

rules of the NA . . . and dissuade the residents from pressing unreasonable demands on the developer.<sup>151</sup>

Thus, referring to Giddens and Teubner, Hasegawa concludes that NAs represent “a good example of the intersection of intimacy and impersonality.”<sup>152</sup> This is also because “residents have . . . developed their sense of ‘reflexive monitoring’ of the diverse social rules.”<sup>153</sup>

As the next section will show, Hasegawa’s state-centric arguments regarding what is considered to be properly “legal” in Japan as well as his reflections on NAs’ capacity to mould a relational sense of responsibility and community-belonging have far-reaching consequences for our comparative analysis. Before turning to that, however, it should be noted that the impact of Hasegawa’s contentions can be better appreciated if contextualised against what Yu Ishida and Naoko Okuyama have recently affirmed in an essay which explores how “private-led prosocial commitments to local community welfare”<sup>154</sup> are supported by non-member donations. As Ishida and Okuyama observe, indeed, NAs (NHAs in their essay) “[h]ave evolved five structural and organisational distinctions as their central features over time.”<sup>155</sup> More particularly:

(1) the NHAs have their own local areas for administration and activities, and one’s local areas do not overlap with another; (2) the unit of account for the NHA members is a household, not an individual; (3) all the households in an area by default are members of the respective NHA; (4) the NHAs comprehensively assess a broad variety of local community issues; and (5) the NHAs are then the representative organizations to the local municipalities and outsider authorities.<sup>156</sup>

As discussed, the interrogative posed by this article is whether the formation, nature, organisational structure, and regulatory functions exerted by NAs fully meet Teubner’s aforementioned four quality tests. Answering this interrogative inevitably requires also an

---

<sup>151</sup> *ibid.* Emphasis added.

<sup>152</sup> *ibid.*, 96

<sup>153</sup> *ibid.*

<sup>154</sup> Yu Ishida and Naoko Okuyama, ‘Local Charitable Giving and Civil Society Organizations in Japan’ (2015) 26(4) *Voluntas* 1164–88, at 1166.

<sup>155</sup> *ibid.*, 1167.

<sup>156</sup> *ibid.*, 1167–68.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

engagement with some of the criticism which has been raised against Teubner’s pluralist model. Both aspects are discussed in the following section.

## V. Some Reflections

### *A. Law and the Micro-relational Politics of Sociality*

Modern society is highly functionally differentiated. Japan is, of course, no exception. It was after the Great Hanshin earthquake of 1995, however, that scholars commenced paying increasing attention to the growth and spread of multi-dimensional forms of civic engagement and responsibility in Japan. It seems now clear that a fundamental role in the development of micro-scale participatory dynamics was played by a series of international and transnational factors that helped contain the impact of those state policies aimed at constraining the emergence of non-governmental organisations.<sup>157</sup> Further, it is equally accepted that while the Japanese state is generally opposed to “the formation of national movements that are local in origin,”<sup>158</sup> “[o]ver the [past] thirty years, citizen participation in planning decisions increased and local planning authorities gained power.”<sup>159</sup> Not coincidentally, the 1998 NPO law arose out of the Japanese state’s awareness regarding its lack of local knowledge and inability to provide mass-disaster relief. While scholars are divided on such matters as the trajectories and effects of this political turn<sup>160</sup> and the involvement of local governments in urban planning activities, they tend to agree that

[c]ivil society in Japan is expanding and becoming more pluralistic, gradually moving away from the predominance of business associations typical of a developmental state . . . Japanese society as a whole is moving from a security-based society in which individuals pursue cautious, commitment-forming

---

<sup>157</sup> Kim D. Reimann, *Rise of Japanese NGOs: Activism from Above* (Routledge 2010).

<sup>158</sup> Hein & Pelletier (2006), 164–81, at 165.

<sup>159</sup> Ishida *supra* note 4, at 25.

<sup>160</sup> Pekkanen *supra* note 130). According to Ogawa, *supra* note 15, p. 184 and p. 180, what the 1998 NPO law has led to is civil society’s “failure.” The reason, Ogawa maintains, is that the new legislation reinforces the state’s presence through “performance targets or cost cutting in public administration and the growth of managerialism by the government as a mode of collaboration with the NPO.” See also Evans (2002). More generally, see Sorensen and Funck (2007).

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

strategies to a trust-based society in which individuals pursue more open, opportunity-seeking strategies.<sup>161</sup>

Furthermore, as Ishida and Okuyama have more recently noted, “[t]hese days more people in Japan have become more concerned about the development of their local society and community, and have tried to involve others towards achieving sustainable development.”<sup>162</sup> This, in turn, helps reverse the Japanese’s ontological withdrawal and social marginalisation by enhancing a sense of purposiveness, community-belonging, reciprocity, solidarity, responsibility, and civicness. “The principal social function of the *jichikai*,” Applbaum observed in the middle of Japan’s first lost decade, “is to integrate residents and promote a sense of solidarity in the neighbourhood.”<sup>163</sup> A little later, Applbaum further asserted that NAs are “a constant fact and reminder of obligations to neighbourhood life.”<sup>164</sup> Hasegawa’s case studies, particularly the second one, as well as other recent accounts confirm this. And indeed, NAs’ “meetings are general fora in which . . . members are permitted and even encouraged to participate.”<sup>165</sup> It is no coincidence that, amongst the many social services NAs provide, “friendships and social gatherings among residents”<sup>166</sup> play a key-role. It is unsurprising, then, that “in their provision of social services, NAs are generally guided by their intimate knowledge of local conditions and a close connection to the people being served (the neighbors).”<sup>167</sup>

This view is reinforced by the contextual nature of NA’s modes of governance as well as by their collaborative working relationships with other local organisations and Social Welfare Councils.<sup>168</sup> It is further confirmed by all those activities which NAs undertake to foster social capital, bonding, and participation,<sup>169</sup> while also enhancing a sense of political representation and accountability.<sup>170</sup> Tsujinaka, Yamamoto and Pekkanen observe that NAs “typically adopt

---

<sup>161</sup> Schwarz (2003), p. 7.

<sup>162</sup> Ishida and Okuyama, *supra* note 154, p. 1184.

<sup>163</sup> Applbaum, *supra* note 28, pp. 2–3. Cf. Sorensen and Funck (2007), p. 278.

<sup>164</sup> *ibid.*, 5.

<sup>165</sup> Pekkanen, Tsujinaka and Yamamoto *supra* note 16, p. 45.

<sup>166</sup> *ibid.*, 109.

<sup>167</sup> *Ibid.*

<sup>168</sup> *ibid.*, 83–108 and 113–4.

<sup>169</sup> Pekkanen, Tsujinaka, and Yamamoto *supra* note 16, pp. 59–108. Cf. Hashimoto *supra* note 26, pp. 230–2; Sorensen *supra* note 6, p. 122; Houwelingen (2012), p. 471.

<sup>170</sup> Pekkanen, Tsujinaka, and Yamamoto *supra* note 16, pp. 59–82 and 150–74.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

a strategy of filling in the gaps in social service provision by local governments.”<sup>171</sup> Sub-contracting arrangements are the preferred route to perform this task as just-mentioned authors find that “almost all local government subcontract to NHAs”,<sup>172</sup> and further, that “commissioned services and collaboration with local governments are more frequently undertaken when NHAs regard local government policies as insufficient.”<sup>173</sup> In addition, as Ito has showed, NAs have been developing self-referred problem-solving practices in isolation from local governments.<sup>174</sup> All these activities also serve to counter-balance the aforementioned political vacuum at the macro-level to the extent that to the increasing lack of political and social significance of public bodies there corresponds the growing self-reflective emergence and intensification at the micro level of spontaneous sites of rule-production and enforcement.<sup>175</sup> Or, we may say with Teubner, NAs autopoietically constitutionalise themselves through a self-reflective structural coupling that internalises external pressures.<sup>176</sup>

Importantly, these micro-forms of relationality and responsibility feed back into renewed modes of subjective well-being<sup>177</sup>—a finding which is indirectly confirmed by how social distance factors increase the emergence and spread of precarity, marginalisation, and depression phenomena. “People who are different in social backgrounds from their neighbors,” Daisuke Takagi *et al* observe, “are vulnerable to depression because they cannot acquire sufficient supportive networks and cannot perceive collective efficacy due to deficiency of interactions with their neighbors.”<sup>178</sup>

What about law and legal analysis, then? As normative (including legal) pluralism is a social fact,<sup>179</sup> it comes as no surprise that legal scholars have not failed to explore the pivotal role that both state law and non-state regulatory instruments play, or might play, in the development of

---

<sup>171</sup> *Ibid.*, 109.

<sup>172</sup> *Ibid.*, 147.

<sup>173</sup> *Ibid.*

<sup>174</sup> Ito *supra* note 15, p. 169.

<sup>175</sup> Pekkanen, Tsujinaka, and Yamamoto *supra* note 16, p. 151. This also explains why, since the 1990s, NAs’ activities have been supported by decentralisation movements. See *ibid.*, 18.

<sup>176</sup> This also applies to the very formative period of present-day NAs, the 1920s. See Schmidtpott, *supra* note 25.

<sup>177</sup> Hashimoto *supra* note 26, p. 226; Pekkanen & Tsujinaka (2008); Yūko, Pekkanen, and Yutaka (2012), p. 85; Matsushima & Matsunaga (2015); Yoji Inaba *et al* (2015). But see Tiefenbach & Holdgrün (2015).

<sup>178</sup> Daisuke Takagi *et al* (2013), p. 86.

<sup>179</sup> Brennan *et al* (2013), p. 3; Cotterrell (2017), p. 22.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

civic participation bonds in Japan’s societal life.<sup>180</sup> While the debate on the nature and declensions of these societal instances of transformative politics has proved to be particularly insightful from several perspectives of inquiry, the question that still needs to be answered is what analytical model would assist commentators assess the legal character of this sort of shared actions, voluntary organisations, and interpersonal modes of social responsibility.

Yet, it might be objected with good reason that the heterogeneity of the phenomena in question renders it particularly difficult (if not inappropriate) to frame a unique and all-embracing analytical framework. The very path pursued by this article might therefore be deemed not worth considering. To such criticism, it might be responded by noting that while Teubner’s societal picture allows to inscribe the Japanese’s micro-relational arrangements within the purview of legal pluralism, such categorisation would only be acceptable at a very general level of abstraction. Analytical accuracy and coherence would indeed require us to comprehend that opting for Teubner’s theory of constitutional differentiation would lead to conclude that such pluralist instances not only meet the threshold of legality, but also the higher and more rigid one of constitutionality.<sup>181</sup> In this sense, and to be more precise, a Teubnerian analytic would allow interpreters to conceptualise such micro-relational conglomerates not merely as, drawing from Griffiths, might be defined as self-autonomous loci of legal-regulatory activity.<sup>182</sup> Rather, they would have to be considered as full-fledged constitutional sites.

Further, and relatedly, opting for a Teubnerian approach to the subject would allow interpreters to extend the notionistic purview of what amounts to “law” in Japan to a whole series of normatively heterogeneous<sup>183</sup> phenomena which, under the influence of conventional (i.e. positivistic and state-based) assumptions, would not be categorised as such. As a result, the formation and functioning of the micro-relational regulatory dynamics such as those underpinning NAs that Hasegawa categorises as non-legal would have to be re-thought as representing “islands of the constitutional” in Teubnerian terms—i.e. constitutional loci of inter-subjective, self-reflective consciousness and communication processes. Thus, and as can

---

<sup>180</sup> Among others, see Feeley & Miyazawa *supra* note 37, p. 179. In sociological literature, see Salamon & Toepler (2000).

<sup>181</sup> Teubner, *supra* note 43, p. 110.

<sup>182</sup> Griffiths, *supra* note 47; *id.* (2003), p. 24.

<sup>183</sup> Griffiths, *supra* note 47, p. 38.



‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

be easily imagined, moving away from “legal centralist”<sup>184</sup> demarcations has meaningful implications for scholars’ ability to analytically assess the relationship between the growing “legal consciousness” of the Japanese and the formation of societal forums of civil activity and responsibility.<sup>185</sup>

*B. Questioning Teubner’s Societal Analytic*

That it is for the (comparative) sociology of law to take the above considerations further should be obvious<sup>186</sup>—particularly if we bear in mind the “*sociological turn in constitutionalism*”<sup>187</sup> and agree with Griffiths that the “theoretical object” of socio-legal analysis is “social control”<sup>188</sup> and focus on the ordering function played by the micro-sites of rule production at the centre of this article. Whether or not choosing Teubner’s societal model as a conceptual framework for our comparative analysis is, however, another question and open to discussion (if not controversy). The argument pursued here is that Teubner’s constitutional picture is worth exploring to the extent that it helps us systematise (and thus, comprehend) different normative orders and regulatory regimes in an age, such as ours, characterised by increasing regulatory density, complexity, and uncertainty. This is due to the fact that Teubner specifically framed his socio-legal paradigm to overcome the difficulties that classic constitutional theory has shown in trying to decipher the proliferation and divergence of isolated alternatives to the modern constitutional project, even in Japan.

As it was seen, indeed, while Teubner elaborated his societal constitutionalism primarily for the global and transnational environment, its utility for the purposes of our study lies in the way he has re-worked the “state/society” dichotomy by blending together different group and complexity theory arguments. Teubner’s inter-disciplinary approach allows interpreters to make use of the societal constitutionalism paradigm for (potentially) all sub-levels of ordering, including those discussed here. That having been said, however, analytical accuracy requires

---

<sup>184</sup> Galanter (1981), p. 17. Griffiths, *supra* note 47.

<sup>185</sup> Cf. Cotterrell (1998), pp. 190–1.

<sup>186</sup> Cf. Zweigert & Kötz (1998), p. 11.

<sup>187</sup> Blokker & Thornhill (2017), p. 6.

<sup>188</sup> Griffiths (2017), p. 105.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

us to consider some of the criticism that has been raised against it. Two very similar critical appraisals are of particular interest for the scope of our discussion: Emilio’s Christodoulidis’ “internal critique of . . . the constitutional and political dimensions”<sup>189</sup> of Teubner’s theory, and Ming-Sung Kuo’s criticism regarding how far has Teubner extended the concept of constitution to account for informal modes of group-formation and ordering.<sup>190</sup>

Christodoulidis’ and Kuo’s reviews of Teubner’s account share a common concern about the consequences implied by a shift from empirically-based constitutionalism to abstract constitutionalisation. Christodoulidis contends that “constitutionalization, as an ongoing process, undercuts what we typically associate with the constitutional, which is its framing function.”<sup>191</sup> More particularly, while noting that “the great novelty of [Teubner’s] theory is to withdraw the primacy of the legal”<sup>192</sup> from the purview of scholarly discourse on the subject, what Christodoulidis thinks Teubner’s societal constitutionalism fails to grasp is that

the meaning of the constitutional points to a certain function of “containment” along the social, temporal, and material axes [shaping such meaning]. These are threshold requirements for ascribing constitutional meaning. Uploaded to the level of transnational societal constitutionalism, they become unsettled, as they become subject to a number of extraordinary reconfigurations in all three dimensions.<sup>193</sup>

This feature of sectorial constitutions leads Christodoulidis to maintain that if, as in Teubner’s case,

constitutionalization is merely the name of what “hardens” into concepts that acquire some form of orientation value for the system in response to societal stimuli (be they protests or conflicts) as it surges on along the trajectory of its self-reproduction, then we sacrifice the possibility to draw distinctions on a political-societal register.<sup>194</sup>

Although he never cites nor indirectly refers to Christodoulidis’ critique, Kuo argues similarly while targeting the shift, at the centre of Teubner’s model, from the constitution as we know it

---

<sup>189</sup> Christodoulidis (2013).

<sup>190</sup> Kuo (2014).

<sup>191</sup> Christodoulidis, *supra* note 189, p. 632.

<sup>192</sup> *Ibid.*, 647.

<sup>193</sup> *Ibid.*

<sup>194</sup> *Ibid.*, 650.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

to the (somehow intangible) autopoietic processes of constitutionalisation. Categorising Teubner’s picture as a “constitutional wonderland,”<sup>195</sup> Kuo’s intent is to challenge the constitutional credentials of Teubner’s theory by showing that his analytic implies a semantic deception which ultimately affects its ability “to offer an alternative constitutional vision for political ordering”<sup>196</sup> in contemporary society. “Teubner’s version of global constitutionalism,” Kuo affirms,

is semantic as the world order comprising constitutional fragments he envisages is disembedded from political, discursive communities of self-determination. With functional autonomisation in the place of political self-determination, Teubner’s constitutional wonderland appears to be steeped in an endless process of constitutionalisation without the constitution as we know it, albeit still overloaded with constitutional trappings.<sup>197</sup>

What renders Teubner’s pluralist model problematic, if not completely inadequate, then, is what is hidden in his methodology of inquiry, i.e. the fact that is purposively moulded to sustain his imaginary vision of societal conglomerates’ formation and functioning. Paradigmatic of this empirical indeterminism, over-inclusive functionalism, and lack of conceptual appeal is the role that Teubner assigns to the constitutional actor which, in the above-mentioned “moment of crisis” where the social sub-area (supposedly, according to Kuo) autopoietically constitutes itself through a self-reflective cutting and judging to immunise itself from a vital threat, “seem[s] to be left alone”<sup>198</sup> in her newly discovered capacity as “super civic republican.”<sup>199</sup>

Christodoulids’ and Kuo’s critiques are both strategic to the extent that they tackle central and pervasive themes concerning the empirical accuracy and theoretical soundness of Teubner’s genealogical reconstruction of the constitutional moment as a protective response in the face of an entropic, existential risk. As such, they also shed new light on sociology of law’s commitment and ability to offer specialised frameworks of logical understanding which allow

---

<sup>195</sup> Kuo *supra* note 190, p. 160.

<sup>196</sup> *Ibid.*, 160.

<sup>197</sup> *Ibid.*

<sup>198</sup> *Ibid.*, 169.

<sup>199</sup> *Ibid.*, 173.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

both theorists and practitioners to engage with one another and construct a (more or less) shared knowledge of reality based on (more or less) shared standards of rationality. However, an engagement with all their aspects would require a more extensive treatment than can be provided here. It will suffice to note while certainly dynamic (i.e. the constitution *as* a process), Teubner’s sectorial constitutions do not lack the framing properties of canonical constitutions for the simple reason that, if that would not be the case, the constitutionalisation (i.e. formation and structuring in constitutional terms) of societal sub-areas could never occur phenomenologically.

Not coincidentally, it might be added, throughout his scholarship to date Teubner has given several examples to demonstrate the constitutional (rather than merely legal) character of societal sub-areas whose formation and relational regulative regimes transcend the conceptual safety net attached to the “public-private” dichotomy. As it was seen, these include the economy, science, health, mass media, and so forth—all sub-systems which are not only ontologically constituted, but which also perform constitutional functions within their subject areas. If we agree that constitutions are self-reflective communicative processes through which societal arenas are autopoietically ontologised so that the political life of communities can express itself, then NAs too can be efficiently categorised as sectorial constitutions in Teubnerian terms.<sup>200</sup>

## VI. Conclusion

Not only legal pluralists, but also legal comparatists, positivists and post-positivists have in the past two decades come to stress that a full comprehension of the legal dimension requires us to, first, move beyond state-centred categorisations; and secondly, embrace a relational account of law’s existence and content. Thus, in advocating a new taxonomy for the comparative study of law(s), Ugo Mattei has observed that “any social structure . . . is also a legal structure.”<sup>201</sup> Turning away from his much-debated reductive focus on state law, exclusivist legal positivist

---

<sup>200</sup> Cf. Pekkanen, Tsujinaka, and Yamamoto, *supra* note 16, p. 69, where we read that “NHAs are the *foundation* of local communities.” Emphasis added.

<sup>201</sup> Mattei, *supra* note 23, p. 19.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Joseph Raz has recently urged theorists to take into account “other kinds of law”<sup>202</sup> and significantly, “the rules and regulations governing the activities of voluntary associations.”<sup>203</sup> In framing a socio-legal positivist account that draws while also departing from HLA Hart’s state-based conventionalism, Brian Tamanaha has asserted that “[l]aw is whatever people identify and threat in their social practices as law (or *droit*, *retch*, etc.).”<sup>204</sup> More particularly, to Tamanaha, “[l]aw is a social historical growth – or, more precisely, a complex variety of growths – tied to social intercourse and complexity.”<sup>205</sup> Similarly, in his recent post-positivist account of the legal dimension, Alexander Somek asserted that “[l]aw is first and foremost a relation between and among people.”<sup>206</sup>

Any such claim would, however, risk being sacrificed at the altar of analytical rigour if the requirements for a social practice or a relational encounter to be, first, recognised and, then, conceptualised as “law” are not clearly set out and met. The argument pursued by this article is that a cross-cultural approach to Teubner’s societal constitutionalism is the most accurate framework one can deploy to conceptualise and operationalise the formation and functioning of Japan’s new micro-sites of relationality and responsibility. In particular, starting from the assumption that societal dynamics are phenomena to be explored in their historical and contextual contingency, this article has called for a contextualisation of Teubner’s societal analytic of functional differentiation and constitutional quality tests from the perspective of Hasegawa’s empirical studies on the regulatory regimes of NAs as micro-relational communities.

As discussed, the strength of Teubner’s societal analytic lies not only in its explanatory value, but also, and more significantly, in its ability to offer a juristic framework within which some of the complex phenomena of social normativity can be recognised, assessed, and operationalised. Teubner is, of course, well aware that there are many controversial questions which constitutional sociology, including his own one, has yet to answer.<sup>207</sup> Hence he concedes

---

<sup>202</sup> Raz (2017), p. 138. See also *ibid.*, 144.

<sup>203</sup> *Ibid.*, 138. Raz, however, still considers the state as “the most comprehensive legally based social organisation”. *Ibid.*, 137.

<sup>204</sup> Tamanaha (2001a), p. 169. More generally, see *ibid.*, 133–70; *id.* (2001b).

<sup>205</sup> Tamanaha (2017), p. 3.

<sup>206</sup> Somek (2017), p. 20.

<sup>207</sup> Teubner, *supra* note 58, p. 315.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

that his “capillary constitutionalisation” cannot but be experimental.<sup>208</sup> This might help the dialogue with those critics such as Christodoulidis and Kuo, who point at the model’s epistemological relativism and over-inclusiveness. In any case, few would disagree that Teubner’s merit is to have shifted our focus from the “legal-political” dialectic of modern constitutional studies to all those processes of self-constitutionalisation which take place outside the traditional channels of modern analytical constructs (i.e. the constitution not, or not only, as a text but rather as a self-reflexive practice that expresses a communicative potential). Through societal constitutionalism’s lenses, Teubner observes drawing from Koselleck, “[t]he fundamental structures of civil society would have to be treated in terms of constitutional politics as equal to the structures of the state constitution.”<sup>209</sup> This is because “[i]n contrast to the simple juridification of social sub-areas, we may only speak of their constitutionalization once legal norms have assumed th[e] dual function [of foundation of an autonomous order and its self-limitation].”<sup>210</sup> It can hardly be disputed that NAs’ foundational and regulatory dynamics as well as self-referred problem-solving practices do not meet these requirements—particularly if we bear in mind the self-immunising, constitutional function that, as displayed in Hasegawa’s case studies, NAs exert when faced with a vital threat (or entropic, existential moment of crisis in Teubnerian terms).

As it was seen, the proposed theoretical move allows interpreters first to tackle the difficulties implied in the usability of analytical concepts in cross-cultural dialogue; and secondly, to push the debate on pluralist normative configurations in Japan beyond the conventional state-centric horizon. It does so to the extent that it provides the analytical background against which categorise the micro-relational forms of cohesion, solidarity, and responsibility that the Japanese have been developing as full-fledged constitutional sites. The reason for this should be obvious: if what this article argues is correct, such pluralist instances of organised societal life would not only meet the threshold of legality, but also the higher and more rigid one of constitutionality. Importantly, opting for Teubner’s pluralist analytic would, in turn, not only enhance the theoretical and practical comprehension of Japan’s polity’s inner

---

<sup>208</sup> Teubner, *supra* note 68, p. 58.

<sup>209</sup> Teubner, *supra* note 43, p. 16.

<sup>210</sup> *Ibid.*, 18.

dynamics. More significantly, it would also assist commentators in initiating a communal effort from which the scholarly dialogue on the subject, and thus, the mutual understanding between the Japanese and Western legal traditions, would ultimately benefit.

## REFERENCES

Abe, Aya k. (2010) “Social Exclusion and Earlier Disadvantages: An Empirical Study of Poverty and Social Exclusion in Japan.” 13(1) *Social Science Japan Journal* 5–30.

Agamben, Giorgio (2009) “The Friend,” in *What is an Apparatus? And other Essays*, D. Kishik & S. Pedatella trans., Stanford: Stanford University Press, 25–37.

Applbaum, Kalman (1996) “The Endurance Of Neighborhood Associations In A Japanese Commuter City.” 25(1) *Urban Anthropology and Studies of Cultural Systems and World Economic Development* 1–39.

Anne, Allison (2013) *Precarious Japan*, Durham: Duke University Press.

Arendt, Hannah (2008) *The Human Condition*, Chicago: The University of Chicago Press

——(2005) “Introduction into Politics,” in J. Kohn, ed., *The Promise of Politics*, New York: Schocken Books, 93–200.

Avenell, Simon (2010) “Facilitating Spontaneity: The State and Independent Volunteering in Contemporary Japan.” 13(1) *Social Science Japan Journal* 69–93.

——(2018) “Japan,” in A. Ogawa, ed., *Routledge Handbook of Civil Society in Asia*, Oxford/New York: Routledge 17–31.

Baldwin, Frank & Anne Allison (eds) (2017) *Japan: The Precarious Future*, New York: NYU Press.

Benhabib, Sheila (1992) *Situating the Self*, New York: Routledge.

Beiner, Ronald (ed) (1995) *Theorizing Citizenship*, New York: State University of New York Press.

Benjamin, Andrew (2015) *Towards a Relational Ontology. Philosophy’s Other Possibility*, Albany: SUNY Press.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Blokker, Paul (2017) “Politics and the Political Sociology of Constitutionalism,” in P. Blokker & C. Thornhill, eds., *Sociological Constitutionalism*, Cambridge: Cambridge University Press, 178–208.

Blokker, Paul & Chriss Thornhill (2017) “Sociological Constitutionalism: An Introduction,” P. Blokker & C. Thornhill, eds., *Sociological Constitutionalism*, Cambridge: Cambridge University Press, 1–31.

Brumann, Christoph & Evelyn Schulz (eds) (2012) *Urban Spaces in Japan. Cultural and Social Perspectives*, Oxford/New York: Routledge.

Brennan, Geoffrey *et al* (2013) *Explaining Norms*, Oxford: Oxford University Press.

Büscher, Monika & John Urry (2009) “Mobile Methods and the Empirical.” 12(1) *European Journal of Social Theory* 99–116.

Butt, Simon, Hitoshi Nasu, & Luke Nottage (eds) (2014) *Asia-Pacific Disaster Management: Comparative and Socio-legal Perspectives Satsuki Kawano*, Dordrecht: Springer.

Corsi, Giancarlo (2016) “On Paradoxes in Constitutions,” in A. Febbrajo, and G. Corsi, eds., *Sociology of Constitutions: A Paradoxical Perspective*, Oxford: Routledge, 11–29.

Cassegård, Carl (2014) *Youth Movements, Trauma and Alternative Space in Contemporary Japan*, Leiden: Brill.

Cassirer, Ernst (1944) *An Essay on Man*, New Haven: Yale University Press.

——— (2009) *The Philosophy of the Enlightenment*, Princeton: Princeton University Press.

Chiavacci, David & Carola Hommerich (eds) (2017) *Social Inequality in Post-Growth Japan. Transformation during Economic and Demographic Stagnation*, Oxford/New York: Routledge.

Chiba, Masaji (1998) “The Intermediate Variable of Legal Concepts.” 30 *Journal of Legal Pluralism and Unofficial Law* 131–43.

Christodoulidis, Emiliós (2013) “On the Politics of Societal Constitutionalism.” 20(2) *Indiana Journal of Global Legal Studies* 629–63.

Corne, Peter Howard (1990) “The Influence of Traditional Normative Mechanisms of Behaviour on the Japanese Legal System.” 12(3) *Sydney Law Review* 346–61.



'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Cotterrell, Roger (1998) "Why Must Legal Ideas Be Interpreted Sociologically?." 25(2) *Journal of Law and Society* 171–92.

——(1999) *Émile Durkheim: Law in a Moral Domain*, Edinburgh: Edinburgh University Press.

——(2003) "Comparatists and Sociology," in P. Legrand & R. Munday (eds) *Comparative Legal Studies: Traditions and Transitions*, Cambridge: Cambridge University Press, 131–53.

——(2017) "Do Lawyers Need a Theory of Legal Pluralism?," in N Roughan and A Alpin, eds., *In Pursuit of Pluralist Jurisprudence*, Cambridge: Cambridge University Press, 20–29.

Dan-Cohen, Meir (2016) *Normative Subjects: Self and Collectivity in Morality and Law*, Oxford: Oxford University Press.

Durkheim, Émile (1972) "Forms of Social Solidarity," in A Giddens, ed., *Émile Durkheim. Selected Writings*, Cambridge: Cambridge University Press.

——(1997) *The Division of Labour in Society*, New York: Simon and Schuster.

Durt, Christoph, Thomas Fuchs, & Christian Tewes (eds) (2016) *Embodiment, Enaction, and Culture: Investigating the Constitution of the Shared World*, Cambridge (MA): The MIT Press.

Ehrlich, Eugen (1916) "Montesquieu and Sociological Jurisprudence." 29(6) *Harvard law Review* 583–600.

——(2002) *Fundamental Principles of the Sociology of Law*, Oxford/New York: Transaction Publishers.

Evans, Neil (2002) "Machi-zukuri as a New Paradigm in Japanese Urban Planning: Reality or Myth?," 14(3) *Japan Forum* 443–64.

Feeley, Malcolm M. & Setsuo Miyazawa (2007) "The State, Civil Society, and the Legal Complex in Modern Japan: Continuity and Change," in T. C. Halliday, L. Karpik, & M. Feeley, eds., *Fighting for Political Freedom: Comparative Studies of the Legal Complex and Political Liberalism*, Oxford: Hart Publishing, 151–89.

——(2011) "Legal Culture and the State in Modern Japan: Continuity and Change," in R. W. Gordon & M. J. Horwitz, eds., *Law, Society, and History: Themes in the Legal Sociology and Legal History of Lawrence M. Friedman*, Cambridge: Cambridge University Press, 169–87.

Feldhoff, Thomas (2007) "Japan's Construction Lobby," in A Sorensen & C Funck, eds., *Living Cities in Japan Citizens' Movements, Machizukuri and Local Environments*, Oxford/New

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

York: Routledge, 91–112. Foote, Daniel H. (ed) (2008) *Law in Japan: A Turning Point* Washington: University of Washington Press.

Freeman, Michael (2014) *Lloyd's Introduction to Jurisprudence*, London: Sweet and Maxwell.

Funabashi, Yoichi & Barak Kushner, (eds) (2015) *Examining Japan's Lost Decades*, Oxford/New York: Routledge.

Galanter, Marc (1981) "Justice in Many Rooms," (1981) 13 *Journal of Legal Pluralism and Unofficial Law* 1–47.

Ginsburg, Tom & Harry N. Scheiber (eds) (2012) *The Japanese Legal System: An Era of Transition*, Berkeley: University of California Press.

Grayd, Withmore (1984) "Use and Non-Use of Contract Law in Japan." 17 *Law in Japan* 97–119.

Griffiths, John (1986) "What is Legal Pluralism." (1986) 18 *Journal of Legal Pluralism and Unofficial Law* 1–55.

——(2003) "The Social Working of Legal Rules." (2003) 35 *Journal of Legal Pluralism and Unofficial Law* 1–81.

——(2017) "What is Sociology of Law? (On Law, Rules, Social Control and Sociology)." 49(2) *The Journal of Legal Pluralism and Unofficial Law* 93–142.

Haley, John Owen (1991) *Authority without Power. Law and the Japanese Paradox*, Oxford: Oxford University Press.

Hassard, John (1995) *Sociology and Organization Theory. Positivism, Paradigms and Postmodernism*, Cambridge: Cambridge University Press.

Hein, C. & Philippe Pelletier (2006) "Conclusion: Decentralization Policies – Questioning the Japanese Model," in C. Hein & P. Pelletier, eds., *Cities, Autonomy, and Decentralization in Japan*, Oxford/New York: Routledge, 164–81.

Hirata, Keiko (2002) *Civil Society in Japan. The Growing Role of NGO's in Tokyo's Aid and Development Policy*, New York: Palgrave.

Horiguchi, Sachiko (2012) "Hikikomori: How Private Isolation Caught the Public Eye," in R. Goodman, Y. Imoto, & T. Toivonen (eds), *A Sociology of Japanese Youth. From Returnees to NEETs*, Oxford/New York: Routledge 122–38.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Hunt, Alan (2013) "The Problematisation of Law in Classical Social Theory," in R. Banakar and M. Travers, eds., *Law and Social Theory*, Oxford: Hart Publishing, 17–33.

Husa, Jaakko (2015) *A New Introduction to Comparative Law*, Oxford: Hart Publishing.

Inoue, Kyoko (2002) "From Individual Dignity to Respect for *Jinkaku* – Continuity and Change in the Concept of the Individual in Modern Japan," in M. Likosky, ed., *Transnational Legal Processes. Globalisation and Power Disparities*, Cambridge: Cambridge University Press, 295–315.

Ito, Atsuko (2007) "Earthquake Reconstruction Machizukuri and Citizens Participation," in A. Sorensen & C. Funck, eds., *Living Cities in Japan Citizens' Movements, Machizukuri and Local Environments*, Oxford/New York: Routledge, 157–71.

Ishida, Yu & Naoko Okuyama (2015) "Local Charitable Giving and Civil Society Organizations in Japan." 26(4) *Voluntas* 1164–1188.

Iwata-Weickgenannt, Kristina & Roman Rosenbaum (eds) (2014) *Visions of Precarity in Japanese Popular Culture and Literature*, Oxford/New York: Routledge.

Karan, Pradyumna P. & Suganuma, Unryu (eds) (2016) *Japan after 3/11: Global Perspectives on the Earthquake, Tsunami, and Fukushima Meltdown*, Lexington: The University of Kentucky Press.

Kikkawa, Takeo & Shinozaki, Emiko (2010) *Glocalization, Chiiki Saisei Anata ga Shuyaku da: Nosh oko Renkei to Koy o Soshutsu (In the Revitalization of Local Regions, You Play the Main Role: Joint Ventures between Agriculture, Commerce and Manufacturing and the Creation of Employment)*, Tokyo: Nihon Keizai Hyoronsha.

Kingston, Jeff (2012) *Contemporary Japan: History, Politics, and Social Change since the 1980s*, Malden: Wiley-Blackwell.

——(ed) (2012) *Natural Disaster and Nuclear Crisis in Japan. Response and Recovery after Japan's 3/11*, Oxford/New York: Routledge.

——(2014) *Critical Issues in Contemporary Japan*, Oxford/New York: Routledge.

Martin, Sherry L. & Gill Steel, (eds) (2008) *Democratic Reform in Japan: Assessing the Impact*, Boulder: Lynne Rienner.

Matsushima, Midori & Yoshiho Matsunaga (2015) "Social Capital and Subjective Well-Being in Japan." 26(4) *Voluntas* 1016–45.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Mattei, Ugo (1997) "Three Patterns of Law: Taxonomy and Change in the World's Legal Systems." 45(1) *American Journal of Comparative Law* 5–44.

Ming-Sung, Kuo (2014) "Semantic Constitutionalism at the *Fin de Siècle*." 5(1) *Transnational Legal Theory* 158–75.

Miyazawa, Setsuo (1995) "For the Liberal Transformation of Japanese Legal Culture: A Review of the Recent Scholarship and Practice." 4(1) *Journal of Japanese Law* 101–15.

Mullins, Mark R. & Nakano, Koichi (eds) (2015) *Disasters and Social Crisis in Contemporary Japan: Political, Religious, and Sociocultural Responses*, New York: Palgrave.

Nancy, Jean-Luc (1991) *The Inoperative Community*, Minneapolis: University Of Minnesota Press.

Nakano, Lynne Y. (2009) *Community Volunteers in Japan: Everyday Stories of Social Change*, Oxford/New York: Routledge.

Nelken, David (1995) "Disclosing/Invoking Legal Culture: An Introduction," 4(4) *Social and Legal Studies* 435–42.

——(2008) "Eugen Ehrlich, Living Law, and Plural Legalities." (2008) 9(2) *Theoretical Inquiries in Law* 444–71.

Nimaga, Salif (2009) "Pounding on Ehrlich, Again?," in M. Hertogh, ed., *Living Law: Reconsidering Eugen Ehrlich*, Oxford: Hart Publishing, 157–76.

Ogawa, Akihiro (2009) *The Failure of Civil Society? The Third Sector and the State in Contemporary Japan*, New York: State University of New York Press

Okano, Kaori, (2017), "Rethinking 'Eurocentrism' and Area Studies: Japanese Studies in the Asia-Pacific," in K. Okano & Y. Sugimoto, eds., *Rethinking Japanese Studies: Eurocentrism and the Asia-Pacific Region* Oxford/New York: Routledge, 1–18.

Pejović, Časlav (2014) "Changes in Long-term Employment and Their Impact on the Japanese Economic Model: Challenges and Dilemmas." 37 *Journal of Japanese Law* 51–75.

Pekkanen, Robert J. (2006) *Japan's Dual Civil Society: Members Without Advocates*, Stanford: Stanford University Press.

Pekkanen, Robert & Tsujinaka, Yutaka (2008) "Neighbourhood Associations and the Demographic Challenge", in F. Coulmas, H. Conrad, A. Schad-Seifert, & G. Vogt, eds., *The Demographic Challenge: A Handbook about Japan*, Leidein: Brill, 707–20.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Pekkanen, Robert J. Yutaka Tsujinaka, , and Hidehiro Yamamoto (2014) *Neighborhood Associations and Local Governance in Japan*, Oxford/New York: Routledge.

Pepijn Van Houwelingen (2012) “Neighborhood Associations and Social Capital in Japan.” (2012) 48(4) *Urban Affairs Review* 467–97.

Pharr, Susan (2003) “Preface,” in F. J. Schwartz and S. J. Pharr, eds., *The State of Civil Society in Japan* Cambridge: Cambridge University Press, xiii–xviii.

Preyer, Gerhard & Peter Georg (eds) (2017) *Social Ontology and Collective Intentionality: Critical Essays on the Philosophy of Raimo Tuomela with His Responses*, Dordrecht: Springer.

Roberts, Glenda S. & Susan Orpett Long, (eds) (2014) *Capturing Contemporary Japan: Differentiation and Uncertainty*, Honolulu: University of Hawai’i Press.

Samuels, Richard J. (2013) *3.11: Disaster and Change in Japan*, Ithaca: Cornell University Press.

Scheppele, Kim Laen (2017) “The Social Lives of Constitutions,” in P Blokker & CThornhill, eds., *Sociological Constitutionalism*, Cambridge: Cambridge University Press, 35–66.

Schmidtpott, Katja “Indifferent Communities: Neighbourhood Associations, Class and Community Consciousness in Pre-war Tokyo,” in C. Brumann & E. Schulz (eds) (2012) *Urban Spaces in Japan. Cultural and Social Perspectives* Oxford/New York: Routledge, 125–147.

Swartz, Frank J. & Susan J. Pharr, (eds) (2003) *The State of Civil Society in Japan*, Cambridge: Cambridge University Press.

Shirahase, Sawako (2014) *Social Inequality in Japan*, Oxford/New York: Routledge.

Shizuka Hashimoto, “Neighborhood Associations and Machizukuri Processes: Strengths and Weaknesses,” in A. Sorensen & C. Funck, eds., *Living Cities in Japan Citizens’ Movements, Machizukuri and Local Environments*, Oxford/New York: Routledge, 224–46.

Smith, Steven G. (2017) *Full History: On the Meaningfulness of Shared Action*, London: Bloomsbury.

Sorensen, André (2004) *The Making of Urban Japan: Cities and Planning from Edo to the Twenty First Century*, New York: Routledge.

——(2006) “Centralization, Urban Planning Governance, and Citizen Participation in Japan,” in C. Hein & P. Pelletier, eds., *Cities, Autonomy, and Decentralization in Japan*, Oxford/New York: Routledge 101–27.

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

——(2007) “Changing Governance of Shared Space. Machizukuri as Institutional Innovation,” in A. Sorensen & C. Funck, eds., *Living Cities in Japan Citizens’ Movements, Machizukuri and Local Environments*, Oxford/New York: Routledge, 56–90.

Sorensen, André & Carolin Funck, (2006) “Conclusions: A Diversity of Machizukuri Processes and Outcomes,” in A. Sorensen & C. Funck, eds., *Living Cities in Japan Citizens’ Movements, Machizukuri and Local Environments* Oxford/New York: Routledge, 269–79.

Sorensen, André, Hideki Koizumi, & Ai Miyamoto (2008) “Machizukuri, Civil Society and Community Space in Japan,” in M. Douglass & A. Daniere eds., *The Politics of Civic Space in Asia: Building Urban Communities*, Oxford/New York: Routledge, 33–50.

Takamura, Gakuto, (2012) *Komonzu kara no Toshi Saisei: Chiiki Kyōdō Kanri to Hō no Aratana Yakuwari (Urban Commons and City Revitalization: Community Management of the Commons and New Functions of the Law)*, Tokyo: Minerva Shobō.

Takagi, Daisuke *et al* (2013) “Social Disorganization/Social Fragmentation and Risk of Depression among Older People in Japan: Multilevel Investigation of Indices of Social Distance” 83 *Social Science & Medicine* 81–9.

Tamanaha, Brian Z. (2001a) *A General Jurisprudence of Law and Society*, New York: Oxford University Press.

——(2001b) “Socio-Legal Positivism and a General Jurisprudence.” (2001) 21(1) *Oxford Journal of Legal Studies* 1–32.

——(2017) *A Realistic Theory of Law*, Cambridge: Cambridge University Press.

Thornhill, Chris (2011) “Constitutional Law from the Perspective of Power: A Response to Gunther Teubner.” 20(2) *Social & Legal Studies* 244–47.

Tiefenbach, Tim & Holdgrün, Phoebe Stella (2015) “Happiness Through Participation in Neighborhood Associations in Japan? The Impact of Loneliness and Voluntariness.” 26(1) *Voluntas* 69–97.

Teubner, Gunther (1997) “Global Bukowina: Legal Pluralism in World Society,” in G. Teubner, ed., *Global Law without a State*, London: Dartmouth, 3–28.

——(2008) “State Policies in Private Law? A Comment on Hanoch Degan,” in N. Jansen & R. Michaels, eds., *Beyond the State. Rethinking Private Law*, Tübingen: Mohr Siebeck, 411–19.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

—(2010) "Fragmental Foundations: Societal Constitutional beyond the Nation State" in P. Dobner & M. Loughlin, eds., *The Twilight of Constitutionalism?* Oxford: Oxford University Press, 327–42.

—(2011) "A Constitutional Moment? The Logics of 'Hitting the Bottom'", in P. F. Kjaer, G. Teubner, & A. Febbrajo, eds., *The Financial Crisis in Constitutional Perspective: The Dark Side of Functional Differentiation*, Oxford: Hart Publishing, 3–41.

—(2012) *Constitutional Fragments. Societal Constitutionalism and Globalization*, Oxford: Oxford University Press.

—(2013) "The Project of Constitutional Sociology. Irritating Nation State Constitutionalism." (2013) 4(1) *Transnational Legal Theory* 44–58.

—(2014) "Law and Social Theory: Three Problems." (2014) 1(2) *Asian Journal of Law and Society* 235–54.

—(2015) "Transnational Economic Constitutionalism in the Variates of Capitalism." (2015) 2(1) *The Italian Law Journal* 219–48.

—(2016) "Exogenous Self-Binding. How Social Subsystems Externalise their Foundational Paradoxes in the Process of Constitutionalisation," in A. Febbrajo & G. Corsi, eds., *Sociology of Constitutions: A Paradoxical Perspective*, Oxford: Routledge 30–47.

—(2017) "Societal Constitutionalism: Nine Variations on a Theme by David Sciulli," in P. Blokker & C. Thornhill, eds., *Sociological Constitutionalism*, Cambridge: Cambridge University Press, 313–40.

— (2018) "*Quod omnes tangit*: Transnational Constitutions Without Democracy?." (2018) 45(S1) *Journal of Law and Society*, S1S–29.

Teubner, Gunther & Peter Korth (2012) "Two Kinds of Legal Pluralism: Collision of Transnational Regimes in the Double Fragmentation of World Society," in M. A. Young, ed., *Regime Interaction in International Law. Facing Fragmentation*, Cambridge: Cambridge University Press, 23–54.

Tuomela, Raimo (2007) *The Philosophy of Sociality: The Shared Point of View*, Oxford: Oxford University Press.

Luhmann, Niklas ([2008] 2004) *Law as a Social System*, Klaus A. Ziegert (trans), Fatima Kastner et al (eds), Oxford: Oxford University Press.

Steinhoff, Patricia G. (ed) (2014) *Going to Court to Change Japan: Social Movements and the Law in Contemporary Japan*, Ann Arbor: University of Michigan.

'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

The Justice System Reform Council (JSRC), Recommendations of the Justice System Reform Council - For a Justice System to Support Japan in the 21st Century, (June 12, 2001), <[http://japan.kantei.go.jp/policy/sihou/singikai/990612\\_e.html](http://japan.kantei.go.jp/policy/sihou/singikai/990612_e.html)> (accessed 16 June 2018).

Rokumoto, Kahei (1972) "Problems and Methodology of the Study of Civil Disputes, Part One." 5 *Law in Japan* 101–113.

Febbrajo, Alberto (2016) "Constitutionalism and Legal Pluralism" in A. Febbrajo & G. Corsi (eds) *Sociology of Constitutions: A Paradoxical Perspective* Routledge, 68–96.

Friedman, Lawrence M. (1975) *Legal System: A Social Science Perspective*, New York: Russell Sage Foundation.

King, Michael (2013) "The Radical Sociology of Niklas Luhmann" in R. Banakar & M. Travers, eds., *Law and Social Theory*, Oxford: Hart Publishing 59–73.

Hanna, Mark (2017) "Between Law and Transnational Social Movement Organizations: Stabilizing Expectations of Global Public Goods." 44(3) *Journal of Law and Society* 345–73.

H. Stuart Hughes (1977) *Consciousness and Society. The Reorientation of European Social Thought 1890-1930*, New York: Vintage Books.

King, Michael & Chris Thornhill (2003) *Niklas Luhmann's Theory of Politics and Law*, New York: Palgrave..

Sciulli, David (1992) *Theory of Societal Constitutionalism. Foundations of a Non-Marxist Critical Theory*, Cambridge: Cambridge University Press.

Yamamoto, Tadashi (1999) "Emergence of Japan's Civil Society and Its Future Challenges", in T. Yamamoto, ed., *Deciding the Public Good: Governance and Civil Society in Japan*, Japan Center for International Exchange, 97–124

Imada, Makoto, (2010) "Civil society in Japan: Democracy, Voluntary Action, and Philanthropy," in H. Vinken, Y. Nishimura, B. L.J. White, & M. Deguchi, eds., *Civic Engagement in Contemporary Japan and Emerging Repertoires*, Dordrecht: Springer, 21–40.

Hasegawa, Kyoshi (2005) *Toshi Komyunitii to Hō* (The Urban Community and the Law; Tokyo: Tokyo Daigaku Shuppan-kai.

—(2009) "Law and Community in Japan: The Role of Legal. Rules in Suburban Neighborhoods." (2009) 12(1) *Social Science Japan Journal* 71–99.



'Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites'

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Supreme Court of Japan, April 26 2005, Claim for Membership fee of *Jichikai* (Case Number: (Ju) 1742), 216 Shumin, 639, available at <[http://www.courts.go.jp/app/files/hanrei\\_jp/595/062595\\_hanrei.pdf](http://www.courts.go.jp/app/files/hanrei_jp/595/062595_hanrei.pdf)> accessed 16 June 2018.

Kim D. Reimann (2010) *Rise of Japanese NGOs: Activism from Above*, Oxford/New York: Routledge 2010.

Raz, Joseph (2017) "Why the State?," in N. Roughan and A. Alpin, eds., *In Pursuit of Pluralist Jurisprudence*, Cambridge: Cambridge University Press, 136–162.

Schwarz, Frank (2003) "Introduction," in F.J. Schwartz & S. J. Pharr, eds., *The State of Civil Society in Japan*, Cambridge: Cambridge University Press, 1–19.

Salamon, Lester M. & Toepler, Stefan (2000) "The influence of the Legal Environment on the Development of the Nonprofit Sector", Working Paper 2000/17, Johns Hopkins University Institute for Policy Studies.

Somek, Alexander (2017) *The Legal Relation. Legal Theory After Legal Positivism*, Cambridge: Cambridge University Press.

Upham, Frank K. (1987) *Law and Social Change in Postwar Japan*, Cambridge (MA): Harvard University Press.

—(2013) "Japanese Legal Reform in Institutional, Ideological, and Comparative Perspective" 36(2) *Hastings International and Comparative Law Review* 567–76.

Vanoverbeke, Dimitri *et al* (2014) *The Changing Role of Law in Japan: Empirical Studies in Culture, Society and Policy Making*, Cheltenham: Edward Elgar Publishing.

van Wolferen, Karel (1990) *The Enigma of Japanese Power*, New York: Vintage.

von Benda-Beckmann, Franz (2002) "Who is Afraid of Legal Pluralism?." 34 *Journal of Legal Pluralism and Unofficial Law* 37–82.

Warren, Mark E. (2001) *Democracy and Association*, Princeton: Princeton University Press.

Waswo Ann (1996) *Modern Japanese Society: 1868 – 1994*, Oxford: Oxford University Press.

West, Mark D. (2005) *Law in Everyday Japan: Sex, Sumo, Suicide, and Statute*, Chicago: The University of Chicago Press.

Wolff, Leon, Luke Nottage, & Kent Anderson Kent (eds) (2015) *Who Rules Japan? Popular Participation in the Japanese Legal Process*, Cheltenham: Edward Elgar Publishing.

Luca Siliquini-Cinelli

‘Societal Constitutionalism in Japan:  
Neighbourhood Associations as Micro-relational Constitutional Sites’

ASIAN JOURNAL OF LAW AND SOCIETY, 2019

Yoji Inaba *et al.*, (2015) “Which Part of Community Social Capital is Related to Life Satisfaction and Self-rated Health? A Multilevel Analysis Based on a Nationwide Mail Survey in Japan.” (2015) 142 *Social Science & Medicine* 159–82.

Yorifusa, Ishida (2006) “Local Initiatives and Decentralization of Planning Power in Japan” in Carola Hein and Philippe Pelletier (eds), *Cities, Autonomy, and Decentralization in Japan*, Oxford/New York: Routledge 25–54.

Yūko, Kawato, Robert Pekkanen & Tsujinaka Yutaka, “Civil Society and the Triple Disasters: Revealed Strengths and Weaknesses,” in J. Kingston, ed., *Natural Disaster and Nuclear Crisis in Japan. Response and Recovery after Japan’s 3/11*, Oxford/New York: Routledge, 78–93.

Zweigert, Konrad & Hein Kötz (1998) *An Introduction to Comparative Law*, 3<sup>rd</sup> edn, Cambridge: Cambridge University Press.